

**NHS SUPPLY CHAIN FRAMEWORK AGREEMENT FOR THE PROVISION OF LOAN AND LEASING SERVICES**

<b>NHS Supply Chain</b>	NHS Supply Chain: Operated by DHL Supply Chain Limited (registered number 00528867) whose registered office is at 251 Midsummer Boulevard, Central Milton Keynes, Milton Keynes, MK9 1EQ acting as agent of Supply Chain Coordination Limited (registered number 10881715) whose registered office is at Skipton House, 80 London Road, London SE1 6LH
<b>The Supplier</b>	<b>Supplier</b> registered number (000000) whose registered office is at <b>Address</b>

<b>Date</b>	
<b>Type of Services</b>	Loan and Leasing Services

This Framework Agreement is made on the date set out above subject to the terms set out in the schedules listed below ("**Schedules**"). NHS Supply Chain (operated by DHL Supply Chain Limited acting as agent for Supply Chain Coordination Limited) and the Supplier undertake to comply with the provisions of the Schedules in the performance of this Framework Agreement.

Unless otherwise specified, the definitions in Schedule 4 apply to the use of all capitalised terms in this Framework Agreement.

**Schedules**

<b>Schedule 1</b>	Key Provisions
<b>Schedule 2</b>	General Terms and Conditions
<b>Schedule 3</b>	Information Governance Provisions
<b>Schedule 4</b>	Definitions and Interpretations
<b>Schedule 5(a)</b>	Specification
<b>Schedule 5(b)</b>	Tender Response Document
<b>Schedule 6</b>	Commercial Schedule
<b>Appendix 1 to Schedule 6</b>	Pricing Schedule
<b>Schedule 7</b>	Re-opening Competition and Call Off
<b>Schedule 8</b>	Master Lease Agreement
<b>Schedule 9</b>	Loan Agreement

**Signed by an authorised representative for and on behalf of NHS Supply Chain  
(operated by DHL Supply Chain Limited acting as agent for Supply Chain  
Coordination Limited)**

Name:	.....	Signature:	.....
Position:	.....		

**Signed by an authorised representative of the Supplier**

Name:	.....	Signature	.....
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## Schedule 1 Key Provisions

### Standard Key Provisions

#### 1. Application of the Key Provisions

- 1.1 The standard Key Provisions at Clauses 1.1 to 1.9 of this Schedule 1 shall apply to this Framework Agreement.
- 1.2 The optional Key Provisions at Clauses 1.10 to 1.12 of this Schedule 1 shall only apply to this Framework Agreement where they have been checked and information completed as applicable.
- 1.3 Extra Key Provisions shall only apply to this Framework Agreement where such provisions are set out at the end of this Schedule 1.

#### Term

- 1.4. The Term of this Framework Agreement shall be four (4) years from the Commencement Date.

#### Contract Managers

- 1.5. The Contract Managers at the commencement of this Framework Agreement are:

1.5.1. for NHS Supply Chain:  
Buyer Solutions NHS Supply Chain  
Carrwood Park  
Swillington Common  
Farm Selby Road  
Leeds, LS15 4LG

1.5.2. for the Supplier:

#### Names and addresses for notices

- 1.6. Notices served under this Framework Agreement are to be delivered to:

1.6.1. for NHS Supply Chain:  
Trading Manager  
NHS Supply Chain  
Carrwood Park  
Swillington Common Farm  
Selby Road  
Leeds, LS15 4LG

Document #: UK/NHS SC/All/Template/BS/Leasing/100-08	
Revision: 3.0	Page 3 of 57

1.6.2. for the Supplier:

### Management levels for escalation and dispute resolution

1.7. The management levels at which a dispute will be dealt with are as follows:

Level	NHS Supply Chain representative	Supplier representative
1	Buyer	
2	Senior Buyer	
3	Procurement Director	

### Order of precedence

1.8. Subject always to Clause 1.10 of Schedule 4, should there be a conflict between any other parts of this Framework Agreement the order of priority for construction purposes shall be:

- 1.8.1. the provisions on the front page of this Framework Agreement for the Provision of Services;
- 1.8.2. Schedule 1: Key Provisions;
- 1.8.3. Schedule 5(a): Specification;
- 1.8.4. Schedule 2: General Terms and Conditions;
- 1.8.5. Schedule 6: Commercial Schedule;
- 1.8.6. Schedule 5(b): Tender Response Document;
- 1.8.7. Schedule 3: Information Governance Provisions;
- 1.8.8. Schedule 4: Definitions and Interpretations;
- 1.8.9. the order in which all subsequent schedules, if any, appear; and
- 1.8.10. any other documentation forming part of the Framework Agreement in the date order in which such documentation was created with the more recent documentation taking precedence over older documentation to the extent only of any conflict.

## Participating Authorities

1.9. The following Contracting Authorities are entitled to order Services pursuant to this Framework Agreement (noting that any Orders placed shall be placed using the Direct Route of Supply):

1.9.1. any NHS Trust or NHS Foundation Trust;

1.9.2. other NHS entities;

1.9.3. any private sector entity which is active in the United Kingdom, Gibraltar or The Channels Islands Healthcare Sector;

1.9.4. any government department, government agency or other statutory body; or

1.9.5. any primary, secondary, tertiary, vocational or higher educational establishment (and those purchasing on their behalf) including, for example, nursery schools, primary schools, middle or high schools, secondary schools, academies, free schools, pupil referral units (PRUs), further education colleges and universities.

1.10. For the avoidance of doubt, any successor bodies of any of the entities described in this definition are included in this definition.

## Optional Key Provisions

**Quality assurance standards self-certification (only applicable to the Framework Agreement if this box is checked and the standards are listed)**

1.11. The Supplier warrants that on the request of NHS Supply Chain it shall provide a written and signed self-certification in the form requested by NHS Supply Chain that it complies and will notify NHS Supply Chain immediately if it no longer complies throughout the Term of the Framework Agreement and all Contracts with all quality assurance standards applicable to Services and that it shall evidence such compliance on request.

**Different levels and/or types of insurance (only applicable to the Framework Agreement if this box is checked and the table sets out the requirements)**

1.12. The Supplier shall put in place and maintain in force the following insurances with the following minimum cover per claim however, the Supplier acknowledges and accepts that it shall have responsibility for ensuring that it is adequately insured to cover all potential liability under this Framework Agreement and all Contracts:

Type of insurance required	Minimum cover
Employer's liability insurance	[INSERT]
Public liability insurance	[INSERT]

**Guarantee checked) (only applicable to the Framework Agreement if this box is checked)**

1.13. Promptly following the execution of this Framework Agreement, the Supplier shall, if it has not already delivered an executed deed of guarantee to NHS Supply Chain, deliver the executed deed of guarantee to NHS Supply Chain as required by the procurement process followed by NHS Supply Chain. Failure to comply with this Key Provision shall be an irremediable breach of this Framework Agreement.

**Extra Key Provisions**

## **Schedule 2 General Terms and Conditions**

### **Contents**

1. Supplier's appointment
2. NHS Supply Chain commitments
3. Ordering procedures
4. Reasonable assistance
5. Supplier performance
6. Business continuity
7. NHS Supply Chain's obligations
8. Contract management
9. Price and payment
10. Warranties
11. Intellectual Property
12. Statutory compliance
13. Independence of Participating Authorities
14. Limitation of liability
15. Insurance
16. Term and termination
17. Consequences of expiry or earlier termination of this Framework Agreement
18. Suspension of Supplier's appointment
19. Complaints process
20. Sustainable development
21. Electronic product information
22. Change management
23. Dispute resolution
24. Force majeure
25. Records retention and right of audit
26. Conflicts of interest and the prevention of fraud
27. Equality and human rights
28. Notice
29. Assignment, novation and Sub-contracting
30. Prohibited Acts
31. Modern Slavery
32. Corporate Social Responsibility Conduct and Compliance
33. General

## **1 Supplier's appointment**

- 1.1 NHS Supply Chain appoints the Supplier as a potential supplier of the Services and the Supplier shall be eligible to be considered for the award of Orders during the Term.
- 1.2 In consideration of NHS Supply Chain agreeing to appoint the Supplier to this Framework Agreement in accordance with Clause 1.1 of this Schedule 2 and the mutual exchange of promises and obligations under this Framework Agreement, the Supplier undertakes to supply Services under Orders placed with the Supplier:
  - 1.2.1 of the exact quality, type and as otherwise specified in the Specification and accepted by NHS Supply Chain in the Tender Response Document;
  - 1.2.2 at the Contract Price calculated in accordance with the Commercial Schedule; and
  - 1.2.3 in such quantities, at such times and to such locations as may be specified in an Order.
- 1.3 The Supplier agrees that the Master Lease Agreement and/or the Loan Agreement (as applicable) shall apply to all supplies of Services made by the Supplier to a Participating Authority pursuant to this Framework Agreement. The Supplier agrees that it will not in its dealings with a Participating Authority seek to impose or rely on any other contractual terms which in any way vary or contradict the relevant Contract.
- 1.4 The Supplier shall comply fully with its obligations set out in this Framework Agreement, the Specification and Tender Response Document, the Master Lease Agreement and/or the Loan Agreement (as applicable) any other provisions of Contracts entered into under and in accordance with this Framework Agreement (to include, without limitation all obligations in relation to the quality, performance characteristics, supply and delivery in relation to the provision of the Services).
- 1.5 Without limitation to any of the provisions of Clause 23 of this Schedule 2 and/or the Commercial Schedule:
  - 1.5.1 the Supplier agrees to work with NHS Supply Chain during the Term of this Framework Agreement to achieve continuous and innovative improvements to the quality and value of the Services, including the way in which the Services are sourced, supplied, and ordered, to achieve the most efficient and best value Services for the mutual benefit of the Supplier, NHS Supply Chain, the Participating Authority and NHS; and
  - 1.5.2 the Supplier agrees to work with NHS Supply Chain during the Term of this Framework Agreement to explore ways in which commitment offered by NHS Supply Chain and/or Participating Authorities in relation to specific Contracts can be reflected in more competitive pricing for the Participating Authority.
- 1.6 If there are any quality, performance and/or safety related reports, notices, alerts or other communications issued by the Supplier or any regulatory or other body in relation to the Services, the Supplier shall promptly (which in the case of any incidents which may have an effect on patient safety, shall mean within one (1) Business Day) provide NHS Supply Chain with a copy of any such reports, notices,



alerts or other communications.

- 1.7 Upon receipt of any such reports, notices, alerts or other communications pursuant to Clause 1.6 of this Schedule 2, NHS Supply Chain shall be entitled to notify Participating Authorities in electronic or other format of such reports, notices, alerts or other communications and to request further information from the Supplier and/or a meeting with the Supplier, and the Supplier shall cooperate fully in all matters relating to any such request.
- 1.8 In complying with its obligations under this Framework Agreement, the Supplier shall, and shall procure that all Staff shall, act in accordance with the NHS values as set out in the NHS Constitution from time to time.
- 1.9 The Supplier acknowledges and agrees that it shall be vicariously liable for the acts or omissions of all Staff engaged by the Supplier in the performance of (i) the Supplier's obligations under this Framework Agreement and (ii) any Contracts awarded to the Supplier under the Framework Agreement. Accordingly, the Supplier shall ensure that, before relevant staff or patient facing Staff commence any duties in connection with the Framework Agreement or any Contract, such Staff have been subject to (at a minimum) a Basic DBS Check and have been appropriately trained to fulfil their duties to the required standard.

## **2 NHS Supply Chain commitments**

- 2.1 Unless otherwise set out in the Commercial Schedule and/or a Contract, the Supplier acknowledges that:
  - 2.1.1 there is no obligation for NHS Supply Chain or for any other Participating Authority to purchase any Services from the Supplier during the Term;
  - 2.1.2 no undertaking or any form of statement, promise, representation or obligation has been made by NHS Supply Chain and/or any other Participating Authority in respect of the total quantities or value of the Services to be ordered by them pursuant to this Framework Agreement and the Supplier acknowledges and agrees that it has not entered into this Framework Agreement on the basis of any such undertaking, statement, promise or representation;
  - 2.1.3 in entering this Framework Agreement, no form of exclusivity has been granted by NHS Supply Chain and/or any other Participating Authority; and
  - 2.1.4 NHS Supply Chain and/or other Participating Authorities are at all times entitled to enter into other contracts and agreements with other suppliers for the provision of any or all services which are the same as or similar to the Services.

## **3 Ordering procedure**

- 3.1 Any Participating Authority may enter into Contracts by placing an Order in accordance with the Ordering Procedure.

#### **4 Reasonable assistance**

- 4.1 Upon the written request of any Participating Authority, the Supplier shall provide such Participating Authority with any reasonable and proportionate information that it holds about the Services it supplies under this Framework Agreement to enable the Participating Authority to complete any necessary due diligence before purchasing such Services.

#### **5 Supplier performance**

- 5.1 The Supplier shall perform all Contracts entered into under this Framework Agreement by NHS Supply Chain or any other Participating Authority in accordance with:

5.1.1 the requirements of this Framework Agreement; and

5.1.2 the provisions of the respective Contracts.

#### **6 Business continuity**

- 6.1 Throughout the Term, the Supplier will ensure its Business Continuity Plan provides for continuity during a Business Continuity Event. The Supplier confirms and agrees such Business Continuity Plan details and will continue to detail robust arrangements that are reasonable and proportionate to:

6.1.1 the criticality of this Framework Agreement to the Participating Authorities; and

6.1.2 the size and scope of the Supplier's business operations,

regarding continuity of the supply of Services during and following a Business Continuity Event.

- 6.2 The Supplier shall test its Business Continuity Plan at reasonable intervals, and in any event no less than once every twelve (12) months or such other period as may be agreed between the Parties taking into account the criticality of this Framework Agreement to Participating Authorities and the size and scope of the Supplier's business operations. The Supplier shall promptly provide to NHS Supply Chain, at NHS Supply Chain's written request, copies of its Business Continuity Plan, reasonable and proportionate documentary evidence that the Supplier tests its Business Continuity Plan in accordance with the requirements of this Clause 6.2 of this Schedule 2 and reasonable and proportionate information regarding the outcome of such tests. The Supplier shall provide to NHS Supply Chain a copy of any updated or revised Business Continuity Plan within fourteen (14) Business Days of any material update or revision to the Business Continuity Plan.

- 6.3 NHS Supply Chain may suggest reasonable and proportionate amendments to the Supplier regarding the Business Continuity Plan at any time. Where the Supplier, acting reasonably, deems such suggestions made by NHS Supply Chain to be relevant and appropriate, the Supplier will incorporate into the Business Continuity Plan all such suggestions made by NHS Supply Chain in respect of such Business Continuity Plan. Should the Supplier not incorporate any suggestion made by NHS Supply Chain into such Business Continuity Plan it will explain the reasons for not doing so to NHS Supply Chain.

- 6.4 Should a Business Continuity Event occur at any time, the Supplier shall implement and comply with its Business Continuity Plan and provide regular written reports to NHS Supply Chain on such implementation.
- 6.5 During and following a Business Continuity Event, the Supplier shall use reasonable endeavours to continue to fulfil its obligations in accordance with this Framework Agreement.

## **7 NHS Supply Chain's obligations**

- 7.1 NHS Supply Chain shall provide reasonable cooperation to the Supplier as appropriate and shall provide copies of or give the Supplier access to such of the Policies that are relevant to the Supplier complying with its obligations under this Framework Agreement.
- 7.2 NHS Supply Chain shall comply with NHS Supply Chain's Obligations, if any.

## **8 Contract management**

- 8.1 Each Party shall appoint and retain a Contract Manager who shall be the primary point of contact for the other Party in relation to matters arising from this Framework Agreement. Should the Contract Manager be replaced, the Party replacing the Contract Manager shall promptly inform the other Party in writing of the name and contact details for the new Contract Manager. Any Contract Manager appointed shall be of sufficient seniority and experience to be able to make decisions on the day to day operation of the Framework Agreement. The Supplier confirms and agrees that it will be expected to work closely and cooperate fully with NHS Supply Chain's Contract Manager.
- 8.2 Each Party shall ensure that its representatives (to include, without limitation, its Contract Manager) shall attend review meetings on a regular basis to review the performance of the Supplier under this Framework Agreement and to discuss matters arising generally under this Framework Agreement. Each Party shall ensure that those attending such meetings have the authority to make decisions regarding the day to day operation of the Framework Agreement. Review meetings shall take place at the frequency specified in the Specification. Should the Specification not state the frequency, then meetings shall take place at intervals as may otherwise be agreed in writing between the Parties.
- 8.3 Unless otherwise agreed between the Parties, NHS Supply Chain shall take minutes of each review meeting and shall circulate draft minutes to the Supplier within a reasonable time following such review meeting. The Supplier shall inform NHS Supply Chain in writing of any suggested amendments to the minutes within five (5) Business Days of receipt of the draft minutes. If the Supplier does not respond to NHS Supply Chain within such five (5) Business Days the minutes will be deemed to be approved. Where there are any differences in interpretation of the minutes, the Parties will use their reasonable endeavours to reach agreement. If agreement cannot be reached the matter shall be referred to, and resolved in accordance with, the dispute resolution process set out in Clause 1.7 of the Key Provisions and Clause 23.3 of this Schedule 2.
- 8.4 The Supplier shall provide any management information as NHS Supply Chain may request from time to time within seven (7) Business Days of the date of the request. The Supplier shall supply the management information to NHS Supply Chain in such

form as may be specified by NHS Supply Chain and, where requested to do so, the Supplier shall also provide such management information to any other Contracting Authority whose role it is to analyse such management information in accordance with UK government policy (to include, without limitation, for the purposes of analysing public sector expenditure and planning future procurement activities) ("**Third Party Body**"). The Supplier confirms and agrees that NHS Supply Chain may itself provide the Third Party Body with management information relating to the Services ordered and any payments made under this Framework Agreement or any Contracts and any other information relevant to the operation of this Framework Agreement.

8.5 Upon receipt of management information supplied by the Supplier to NHS Supply Chain and/or the Third Party Body, or by NHS Supply Chain to the Third Party Body, the Parties hereby consent to the Third Party Body and NHS Supply Chain:

8.5.1 storing and analysing the management information and producing statistics; and

8.5.2 sharing the management information or any statistics produced using the management information with any other Contracting Authority.

8.6 If the Third Party Body and/or NHS Supply Chain shares the management information or any other information provided under Clause 8.5 of this Schedule 2, any Contracting Authority receiving the management information shall, where such management information is subject to obligations of confidence under this Framework Agreement and such management information is provided direct by NHS Supply Chain to such Contracting Authority, be informed of the confidential nature of that information by NHS Supply Chain and shall be requested by NHS Supply Chain not to disclose it to any body that is not a Contracting Authority (unless required to do so by Law).

8.7 NHS Supply Chain may make changes to the type of management information which the Supplier is required to supply and shall give the Supplier at least one (1) month's written notice of any changes.

## **9 Price and payment**

### **Contract Price**

9.1 The Contract Price for all Contracts shall be calculated as set out in the Commercial Schedule and the payment provisions for all Contracts shall be as set out in the Master Lease Agreement and/or the Loan Agreement (as applicable).

### **Management Fee**

9.2 All Services ordered pursuant to this Framework Agreement are subject to a management fee (the "**Management Fee**"). Such Management Fee shall be calculated as set out in Schedule 6 (Commercial Schedule), and shall be paid to NHS Supply Chain by the Supplier in accordance with Clauses 9.3 and 9.4 of this Schedule 2.

9.3 The Management Fee shall be calculated and paid as follows:

9.3.1 In relation to Orders raised through the Direct Route of Supply, the Management Fee accrues and is due, in respect of each Order, from the

Supplier's receipt of the Order from a Participating Authority. The Management Fee is payable (in arrears) on such Orders as set out in sub-Clause 9.3.1(ii). The procedure for the periodic joint review of the Management Fee due on such Orders is as set out in sub-Clause 9.3.1(i) below provided that, for the avoidance of doubt, this shall not be construed as a condition precedent to the payment obligation set out in Clause 9.3.1(ii).

- (i) At the end of each quarter (or month, if agreed by the Parties), NHS Supply Chain shall provide the Supplier with a file listing all completed Leases and Loans where a Rental Pre-Inception Variation Notice or Pre-Inception Variation has been executed by the Supplier in the previous quarter (or month as the case may be), details of the relevant Order value and (where known) the Order number. The Supplier shall confirm receipt of the Orders listed and shall highlight and confirm any additional Orders received by the Supplier directly from a Participating Authority but not included in the list. NHS Supply Chain shall calculate the Management Fee payable for the relevant period based upon this review (provided that this shall not limit or exclude NHS Supply Chain's right to recover payment for Management Fee due upon Orders not disclosed to NHS Supply Chain by the Supplier and/or not made known to NHS Supply Chain by a Participating Authority);
- (ii) NHS Supply Chain shall submit an aggregated invoice quarterly (or monthly, if agreed by the Parties) to the Supplier detailing the Management Fee that has accrued, and is payable, for the relevant period. The Supplier shall pay such invoice within thirty (30) days from receipt of such invoice. Invoices will be provided with a per transaction breakdown.

9.4 Where the Supplier raises a query with respect to an invoice and/or deduction for the Management Fee, the Supplier and NHS Supply Chain shall liaise with each other and agree a resolution to such query within thirty (30) days of the query being raised. If the Parties are unable to agree a resolution within thirty (30) days the Parties shall refer to dispute resolution in accordance with Clause 23 of this Schedule 2.

### **Other Payments**

9.5 Where any payments are to be made under this Framework Agreement by either Party in addition to any payments to be made by Participating Authorities under any Contracts and the Management Fee to be paid by the Supplier, the details of such payments and the invoicing arrangements shall be set out in the Commercial Schedule.

## **10 Warranties**

10.1 The Supplier warrants and undertakes that:

- 10.1.1 it will promptly provide NHS Supply Chain with a copy of any documentation required to be provided by either the Supplier or the Participating Authority under any Contract;
- 10.1.2 it will comply with the terms of all Contracts entered into by Participating Authorities under this Framework Agreement;
- 10.1.3 it will promptly respond to all requests for information regarding the Framework Agreement, the Services and any Contracts at the frequency and in the format that NHS Supply Chain may reasonably require;
- 10.1.4 all information included within the Supplier's response to any documents issued by the Participating Authority as part of the procurement relating to the award of this Framework Agreement (to include, without limitation, as referred to in the Specification in the Tender Response Document and Commercial Schedule) and all accompanying materials is accurate;
- 10.1.5 it has the right and authority to enter into this Framework Agreement and that it has the capability and capacity to fulfil its obligations under this Framework Agreement;
- 10.1.6 it is a properly constituted entity and it is fully empowered by the terms of its constitutional documents to enter into and to carry out its obligations under this Framework Agreement and the documents referred to in this Framework Agreement;
- 10.1.7 all necessary actions to authorise the execution of and performance of its obligations under this Framework Agreement have been taken before such execution;
- 10.1.8 there are no pending or threatened actions or proceedings before any court or administrative agency which would materially adversely affect the financial condition, business or operations of the Supplier;
- 10.1.9 there are no material agreements existing to which the Supplier is a party which prevent the Supplier from entering into or complying with this Framework Agreement;
- 10.1.10 it has and will continue to have the capacity, funding and cash flow to meet all its obligations under this Framework Agreement;
- 10.1.11 it has satisfied itself as to the nature and extent of the risks assumed by it under the Framework Agreement and has gathered all information necessary to perform its obligations under the Framework Agreement and all other obligations assumed by it;
- 10.1.12 it shall: (i) comply with all relevant Law and Guidance and shall use Good Industry Practice to ensure that there is no slavery or human trafficking in its supply chains; and (ii) notify the Contracting Authority immediately if it becomes aware of any actual or suspected incidents of slavery or human trafficking in its supply chains;
- 10.1.13 it shall at all times conduct its business in a manner that is consistent with any anti-slavery Policy of the Contracting Authority and shall provide to the Contracting Authority any reports or other information that the

Contracting Authority may request as evidence of the Supplier's compliance with this Clause 10.1.13 and/or as may be requested or otherwise required by the Contracting Authority in accordance with its anti-slavery Policy.

- 10.2 The Supplier warrants that all information, data and other records and documents required by NHS Supply Chain as set out in the Specification and Tender Response Document shall be submitted to NHS Supply Chain in the format and in accordance with any timescales set out in the Specification and Tender Response Document.
- 10.3 Unless the Parties agree otherwise in writing, the Supplier warrants and undertakes to NHS Supply Chain that it shall comply with any E-Procurement Guidance as it may apply to the Supplier and shall carry out all reasonable acts required of the Supplier to enable NHS Supply Chain to comply with such E- Procurement Guidance.
- 10.4 The Supplier warrants and undertakes that at the Commencement Date it is not and throughout the term of the Framework Agreement and any Contracts it will not be, involved in any Occasion of Tax Non-compliance.
- 10.5 The Supplier further warrants and undertakes to NHS Supply Chain that it will inform NHS Supply Chain in writing immediately upon becoming aware that any of the warranties set out in this Clause 10 of this Schedule 2 have been breached or there is a risk that any warranties may be breached.
- 10.6 Any warranties provided under this Framework Agreement are both independent and cumulative and may be enforced independently or collectively at the sole discretion of the enforcing Party.

## **11 Intellectual Property**

- 11.1 Unless otherwise agreed in writing between the Parties, the Supplier has no right to use the branding or logo(s) of NHS Supply Chain or the NHS in the promotion or marketing of the Supplier's services, nor to reference the approval, support, endorsement, authorisation, certification or similar of NHS Supply Chain or the NHS in relation to the Supplier's services.

## **12 Statutory compliance**

- 12.1 The Supplier shall comply with all Law and Guidance relevant to its obligations under this Framework Agreement and any Contracts.
- 12.2 Without limitation to Clause 12.1 of this Schedule 2, the Supplier shall be responsible for obtaining any statutory licences, authorisations, consents or permits required in connection with its performance of its obligations under this Framework Agreement and any Contracts.

## **13 Independence of Participating Authorities**

- 13.1 The Supplier acknowledges that each Participating Authority is independently responsible for the conduct of its award of Contracts under this Framework Agreement and that NHS Supply Chain is not responsible or accountable for and shall have no liability whatsoever in relation to:
  - 13.1.1 the conduct of Participating Authorities other than NHS Supply Chain in

relation to the operation of this Framework Agreement; or

- 13.1.2 the performance or non-performance of any Participating Authorities other than NHS Supply Chain under any Contracts between the Supplier and such other Participating Authorities entered into under this Framework Agreement.

#### **14 Limitation of liability**

- 14.1 Nothing in this Framework Agreement shall exclude or restrict the liability of either Party:

14.1.1 for death or personal injury resulting from its negligence;

14.1.2 for Fraud or fraudulent misrepresentation;

14.1.3 in any other circumstances where liability may not be limited or excluded under any applicable law; or

14.1.4 to make any payments agreed in accordance with Clause 9 of this Schedule 2.

- 14.2 Subject to Clauses 14.1, 14.3 and 14.5 of this Schedule 2, the total liability of each Party to the other under or in connection with this Framework Agreement whether arising in contract, tort, negligence, breach of statutory duty or otherwise shall be limited in aggregate to five hundred thousand pounds (£500,000).

- 14.3 There shall be no right to claim losses, damages and/or other costs and expenses under or in connection with this Framework Agreement whether arising in contract (to include, without limitation, under any relevant indemnity), tort, negligence, breach of statutory duty or otherwise to the extent that any losses, damages and/or other costs and expenses claimed are in respect of loss of production, loss of business opportunity or are in respect of indirect loss of any nature suffered or alleged.

- 14.4 Each Party shall at all times take all reasonable steps to minimise and mitigate any loss for which one Party is entitled to bring a claim against the other pursuant to this Framework Agreement.

- 14.5 The liability of the Supplier and any Participating Authorities under any Contracts entered into pursuant to this Framework Agreement shall be as set out in the Master Lease Agreement and/or Loan Agreement (as applicable) forming part of such Contracts.

#### **15 Insurance**

- 15.1 Subject to Clauses 15.2 and 15.3 of this Schedule 2 and unless otherwise confirmed in writing by NHS Supply Chain, as a minimum level of protection, the Supplier shall put in place and/or maintain in force at its own cost with a reputable commercial insurer, insurance arrangements in respect of employer's, professional and public liability (including pure economic loss) in accordance with Good Industry Practice with the minimum cover per claim being the greater of five million GBP (£5,000,000) or any sum as required by Law, however, the Supplier acknowledges and accepts that it shall have responsibility for ensuring that it is adequately insured to cover all potential liability under this Framework Agreement and all Contracts.



- 15.2 Without limitation to any insurance arrangements as required by Law, the Supplier shall put in place and/or maintain the different types and/or levels of indemnity arrangements explicitly required by NHS Supply Chain, if specified in the Key Provisions.
- 15.3 Provided that the Supplier maintains all indemnity arrangements required by Law, the Supplier may self-insure in order to meet other relevant requirements referred to at Clauses 15.1 and 15.2 of this Schedule 2 on condition that such self-insurance arrangements offer the appropriate levels of protection and are approved by NHS Supply Chain in writing prior to the Commencement Date.
- 15.4 The amount of any indemnity cover and/or self-insurance arrangements shall not relieve the Supplier of any liabilities under this Framework Agreement. It shall be the responsibility of the Supplier to determine the amount of indemnity and/or self-insurance cover that will be adequate to enable it to satisfy its potential liabilities under this Framework Agreement. Accordingly, the Supplier shall be liable to make good any deficiency if the proceeds of any indemnity cover and/or self-insurance arrangement is insufficient to cover the settlement of any claim.
- 15.5 The Supplier warrants that it shall not take any action or fail to take any reasonable action or (in so far as it is reasonable and within its power) permit or allow others to take or fail to take any action, as a result of which its insurance cover may be rendered void, voidable, unenforceable, or be suspended or impaired in whole or in part, or which may otherwise render any sum paid out under such insurances repayable in whole or in part.
- 15.6 The Supplier shall from time to time and in any event within five (5) Business Days of written demand provide documentary evidence to NHS Supply Chain that insurance arrangements taken out by the Supplier pursuant to Clause 15 of this Schedule 2 and the Key Provisions are fully maintained and that any premiums on them and/or contributions in respect of them (if any) are fully paid.
- 15.7 Upon the expiry or earlier termination of this Framework Agreement, the Supplier shall ensure that any ongoing liability it has or may have arising out of this Framework Agreement shall continue to be the subject of appropriate indemnity arrangements for the period of twenty one (21) years from termination or expiry of this Framework Agreement or until such earlier date as that liability may reasonably be considered to have ceased to exist.

## **16 Term and termination**

- 16.1 This Framework Agreement shall commence on the Commencement Date and, unless terminated earlier in accordance with the terms of this Framework Agreement or the general law, shall continue until the end of the Term.
- 16.2 In the case of a breach of any of the terms of this Framework Agreement by either Party that is capable of remedy (including any failure to pay sums due under this Framework Agreement), the non-breaching Party shall, without prejudice to its other rights and remedies under this Framework Agreement, issue notice of the breach and allow the Party in breach the opportunity to remedy such breach in the first instance via a remedial proposal put forward by the Party in breach ("**Remedial Proposal**") before exercising any right to terminate this Framework Agreement in accordance with Clause 16.3.1(ii) of this Schedule 2. Such Remedial

Proposal must be agreed with the non-breaching Party (such agreement not to be unreasonably withheld or delayed) and must be implemented by the Party in breach in accordance with the timescales referred to in the agreed Remedial Proposal. Once agreed, any changes to a Remedial Proposal must be approved by the Parties in writing. Any failure by the Party in breach to:

- 16.2.1 put forward and agree a Remedial Proposal with the non-breaching Party in relation to the relevant default or breach within a period of ten (10) Business Days (or such other period as the non-breaching Party may agree in writing) from written notification of the relevant default or breach from the non-breaching Party;
- 16.2.2 comply with such Remedial Proposal (including, without limitation, as to its timescales for implementation, which shall be thirty (30) days unless otherwise agreed between the Parties); and/or
- 16.2.3 remedy the default or breach notwithstanding the implementation of such Remedial Proposal in accordance with the agreed timescales for implementation,

shall be deemed, for the purposes of Clause 16.3.1(ii) of this Schedule 2, a material breach of this Framework Agreement by the Party in breach not remedied in accordance with an agreed Remedial Proposal.

16.3 Either Party may terminate this Framework Agreement forthwith by notice in writing to the other Party if such other Party:

16.3.1 commits a material breach of any of the terms of this Framework Agreement which is:

- (i) not capable of remedy; or
- (ii) in the case of a breach capable of remedy, which is not remedied in accordance with a Remedial Proposal; or

16.3.2 has been served with at least two (2) previous breach notices as a result of any material breaches which are capable of remedy within any twelve (12) month rolling period whether or not the Party in breach has remedied the breach in accordance with a Remedial Proposal. The twelve (12) months rolling period is the twelve (12) months immediately preceding the date of the third breach notice.

16.4 NHS Supply Chain may terminate this Framework Agreement forthwith by notice in writing to the Supplier:

16.4.1 if the Supplier, or any third party guaranteeing the obligations of the Supplier under this Framework Agreement, ceases or threatens to cease carrying on its business; suspends making payments on any of its debts or announces an intention to do so; is, or is deemed for the purposes of any Law to be, unable to pay its debts as they fall due or insolvent; enters into or proposes any composition, assignment or arrangement with its creditors generally; takes any step or suffers any step to be taken in relation to its winding-up, dissolution, administration (whether out of court or otherwise) or reorganisation (by way of voluntary arrangement, scheme of arrangement or otherwise) otherwise than as part of, and exclusively for the purpose of, a bona fide reconstruction or

- amalgamation; has a liquidator, trustee in bankruptcy, judicial custodian, compulsory manager, receiver, administrative receiver, administrator or similar officer appointed (in each case, whether out of court or otherwise) in respect of it or any of its assets; has any security over any of its assets enforced; or any analogous procedure or step is taken in any jurisdiction;
- 16.4.2 if the Supplier undergoes a change of control within the meaning of sections 450 and 451 of the Corporation Tax Act 2010 (other than for an intra-group change of control) without the prior written consent of NHS Supply Chain and NHS Supply Chain shall be entitled to withhold such consent if, in the reasonable opinion of NHS Supply Chain, the proposed change of control will have a material impact on the performance of this Framework Agreement or the reputation of NHS Supply Chain;
- 16.4.3 where the Supplier is a broker, if the brokerage rights required for it to supply Services on behalf of the original provider of those Services expire or otherwise cease to exist;
- 16.4.4 if the Supplier purports to assign, Sub-contract, novate, create a trust in or otherwise transfer or dispose of this Framework Agreement in breach of Clause 29 of this Schedule 2;
- 16.4.5 pursuant to and in accordance with the Key Provisions and Clauses 16.5, 24.8; 26.2; 26.4 and 29.2 of this Schedule 2;
- 16.4.6 if the Supplier is in material breach of any of the Contracts to such an extent that the Participating Authority terminates or has the right in the circumstances to terminate that Contract; or
- 16.4.7 if the Supplier is in breach of Clause 10.4 of this Schedule 2.
- 16.5 If NHS Supply Chain, acting reasonably, has good cause to believe that there has been a material deterioration in the financial circumstances of the Supplier and/or any third party guaranteeing the obligations of the Supplier under this Framework Agreement and/or any material Sub-contractor of the Supplier when compared to any information provided to and/or assessed by NHS Supply Chain as part of any procurement process or other due diligence leading to the award of this Framework Agreement to the Supplier or the entering into a Sub-contract by the Supplier, the following process shall apply:
- 16.5.1 NHS Supply Chain may (but shall not be obliged to) give notice to the Supplier requesting adequate financial or other security and/or assurances for due performance of its material obligations under this Framework Agreement on such reasonable and proportionate terms as NHS Supply Chain may require within a reasonable time period as specified in such notice;
- 16.5.2 a failure or refusal by the Supplier to provide the financial or other security and/or assurances requested in accordance with Clause 16.5.1 of this Schedule 2 in accordance with any reasonable timescales specified in any such notice issued by NHS Supply Chain shall be deemed a breach of this Framework Agreement by the Supplier and shall be referred to and resolved in accordance with the Dispute Resolution Procedure; and
- 16.5.3 a failure to resolve such breach in accordance with such Dispute Resolution Procedure by the end of the escalation stage of such process

(as set out in Clause 23.3 of this Schedule 2) shall entitle, but shall not compel, NHS Supply Chain to terminate this Framework Agreement in accordance with Clause 16.3.1(i) of this Schedule 2.

- 16.6 In order that NHS Supply Chain may act reasonably in exercising its discretion pursuant to Clause 16.5 of this Schedule 2, the Supplier shall provide NHS Supply Chain with such reasonable and proportionate up-to-date financial or other information relating to the Supplier or any relevant third party entity upon request.
- 16.7 NHS Supply Chain may terminate this Framework Agreement immediately at any time by giving notice in writing to the Supplier where:
- 16.7.1 the Framework Agreement has been substantially amended to the extent that the Public Contracts Regulations 2015 require a new procurement procedure;
- 16.7.2 NHS Supply Chain has become aware that the Supplier should have been excluded under Regulation 57(1) or (2) of the Public Contracts Regulations 2015 from the procurement procedure leading to the award of this Framework Agreement;
- 16.7.3 the Framework should not have been awarded to the Supplier in view of a serious infringement of any legal obligation on NHS Supply Chain or in view of a serious infringement of obligations under the Public Contracts Regulations 2015; or
- 16.7.4 there has been a failure by the Supplier and/or one its Sub-contractors to comply with applicable legal obligations in the fields of environmental, social or labour Law. Where the failure to comply with legal obligations in the fields of environmental, social or labour Law is a failure by one of the Supplier's Sub-contractors, the Authority may request the replacement of such Sub-contractor and the Supplier shall comply with such request as an alternative to the Authority terminating this Framework Agreement under this Clause 16.7.4 of this Schedule 2.
- 16.8 NHS Supply Chain may at its sole discretion terminate this Framework Agreement at any time without cause by giving to the Supplier not less than ninety (90) days' prior notice of such termination in writing.

**17 Consequences of expiry or earlier termination of this Framework Agreement**

- 17.1 Upon expiry or earlier termination of this Framework Agreement, NHS Supply Chain and the Supplier agree that all Contracts entered into under this Framework Agreement will continue in full force and effect unless otherwise terminated under the terms and conditions of such Contracts.
- 17.2 The Supplier agrees that where this Framework Agreement has been terminated properly in accordance with Clause 16 of this Schedule 2 it shall not be entitled to make a claim against NHS Supply Chain in relation to costs incurred in the provision of the Services which do not form part of the Contract Price paid or payable by a Participating Authority.

- 17.3 The Supplier shall cooperate fully with NHS Supply Chain or, as the case may be, any replacement supplier during any re-procurement and handover period or novation of the Framework Agreement or any Contract prior to and following the expiry or earlier termination of this Framework Agreement. This cooperation shall extend to providing access to all information relevant to the operation of this Framework Agreement and signing any documents, as reasonably required by NHS Supply Chain to achieve a fair and transparent re-procurement and/or an effective transition without disruption to routine operational requirements.
- 17.4 The expiry or earlier termination of this Framework Agreement for whatever reason shall not affect any rights or obligations of either Party which accrued prior to such expiry or earlier termination.
- 17.5 The expiry or earlier termination of this Framework Agreement shall not affect any obligations which expressly or by implication are intended to come into or continue in force on or after such expiry or earlier termination.

## **18 Suspension of Supplier's appointment**

- 18.1 Without prejudice to NHS Supply Chain's rights to terminate this Framework Agreement, if a right for NHS Supply Chain to terminate this Framework Agreement arises (irrespective of whether the circumstances leading to such right are capable of remedy) in accordance with Clause 16 of this Schedule 2, NHS Supply Chain may suspend the Supplier's appointment to receive new Orders under this Framework Agreement by giving notice in writing to the Supplier and all Participating Authorities.
- 18.2 If NHS Supply Chain provides notice to the Supplier in accordance with Clause 18.1 of this Schedule 2, the Supplier's appointment shall be suspended for the period set out in the notice or such other period notified to the Supplier by NHS Supply Chain in writing from time to time provided that such suspension shall be lifted if:
- 18.2.1 the circumstances leading to NHS Supply Chain's right to terminate this Framework Agreement have been remedied;
- 18.2.2 NHS Supply Chain has satisfied itself that the risk and/or impact of the circumstances giving rise to NHS Supply Chain's right to terminate this Framework Agreement no longer requires such suspension; or
- 18.2.3 NHS Supply Chain exercises its rights to terminate this Framework Agreement in accordance with Clause 16 of this Schedule 2.

## **19 Complaints process**

- 19.1 The Supplier shall notify NHS Supply Chain of any formal written complaints made by other Participating Authorities relating to the Supplier's non-compliance with any of its obligations under any Contract within two (2) Business Days of the Supplier becoming aware of such complaints.
- 19.2 Without prejudice to any rights and remedies that the Participating Authority may have under the relevant Contract and/or NHS Supply Chain may have under this Framework Agreement, the Supplier shall use its reasonable endeavours to resolve such complaint within ten (10) Business Days and in so doing, shall deal with the complaint fully, expeditiously and fairly.

- 19.3 Within two (2) Business Days of a written request by NHS Supply Chain, the Supplier shall provide further reasonable details of the complaint to NHS Supply Chain, including details of the steps being taken to progress its resolution and, following its resolution, details of how and when the complaint was resolved.

## **20 Sustainable development**

- 20.1 The Supplier shall comply in all material respects with applicable environmental and social Law requirements in force from time to time in relation to the Services. Where the provisions of any such Law are implemented by the use of voluntary agreements, the Supplier shall comply with such agreements as if they were incorporated into English law subject to those voluntary agreements being cited in the Specification. Without prejudice to the generality of the foregoing, the Supplier shall:
- 20.1.1 comply with all Policies and/or procedures and requirements set out in the Specification in relation to any stated environmental and social requirements, characteristics and impacts of the Services and the Supplier's supply chain;
  - 20.1.2 maintain relevant policy statements documenting the Supplier's significant social and environmental aspects as relevant to the Services being supplied and as proportionate to the nature and scale of the Supplier's business operations; and
  - 20.1.3 maintain plans and procedures that support the commitments made as part of the Supplier's significant social and environmental policies, as referred to in Clause 20.1.2 of this Schedule 2.
- 20.2 Without prejudice to Clause 20.1 of this Schedule 2 and unless otherwise agreed between the Parties in writing and signed, the Supplier shall comply with all EU GPP Guidance or any guidance regarding sustainability as applicable to the Services.
- 20.3 The Supplier shall meet reasonable requests by NHS Supply Chain for information evidencing the Supplier's compliance with the provisions of Clause 20 of this Schedule 2.

## **21 Electronic product information**

- 21.1 Where requested by NHS Supply Chain, the Supplier shall provide NHS Supply Chain with the Product Information in such manner and upon such media as agreed between the Supplier and NHS Supply Chain from time to time for the sole use by NHS Supply Chain.
- 21.2 The Supplier warrants that the Product Information is complete and accurate as at the date upon which it is delivered to NHS Supply Chain and that the Product Information shall not contain any data or statement which gives rise to any liability on the part of NHS Supply Chain following publication of the same in accordance with Clause 21 of this Schedule 2.
- 21.3 If the Product Information ceases to be complete and accurate, the Supplier shall promptly notify NHS Supply Chain in writing of any modification or addition to or any inaccuracy or omission in the Product Information.

- 21.4 The Supplier grants NHS Supply Chain a perpetual, non-exclusive, royalty free licence to use and exploit the Product Information and any Intellectual Property Rights in the Product Information for the purpose of illustrating the range of services (including, without limitation, the Services) available in NHS Supply Chain's product catalogue in relation to any catalogues produced during the Term. Subject to Clause 21.5 of this Schedule 2, no right to illustrate or advertise the Product Information is granted to the Supplier by the NHS Supply Chain, as a consequence of the licence conferred by this Clause 21.4 of this Schedule 2.
- 21.5 NHS Supply Chain may reproduce for its sole use the Product Information provided by the Supplier in NHS Supply Chain's product catalogue from time to time which may be made available on any healthcare communications networks in electronic format and/or made available on NHS Supply Chain's external website and/or made available on other digital media from time to time.
- 21.6 For the avoidance of doubt the Supplier shall have no right to compel NHS Supply Chain to exhibit the Product Information in any product catalogue as a result of the approval given by it pursuant to this Clause 21.6 of this Schedule 2 or otherwise under the terms of this Framework Agreement.
- 21.7 NHS Supply Chain may approach the Supplier during the Term to offer the Supplier the opportunity to take part in specific promotions or to purchase additional advertising space in relation to the Services, the Framework Agreement and any Contract and the Parties shall agree an appropriate price for any such advertising. If any such opportunity is cancelled by NHS Supply Chain it shall refund the purchase price to the Supplier but for the avoidance of doubt, NHS Supply Chain shall not be liable for any incidental costs incurred by the Supplier, including costs associated with the development of an advert.
- 21.8 The Supplier agrees to indemnify and keep indemnified NHS Supply Chain against any loss, damages, costs, expenses (including without limitation legal costs and expenses), claims or proceedings arising out of or in connection with NHS Supply Chain's use of the Product Information, provided always that NHS Supply Chain has not materially misused the Product Information.

## **22 Change management**

- 22.1 The Supplier acknowledges to NHS Supply Chain that the requirements for the Services may change during the Term and the Supplier shall not unreasonably withhold or delay its consent to any reasonable variation or addition to the Specification and Tender Response Document, as may be requested by NHS Supply Chain from time to time.
- 22.2 Without limitation to the provisions of Clause 22.1 of this Schedule 2, the Supplier acknowledges to NHS Supply Chain that over the Term, additional services may be made available for purchase under the Framework Agreement. Such additional services may also include the provision of associated goods, materials or items associated with those additional services (which together shall be the "**Additional and Associated Services**").
- 22.3 Additional and Associated Services to NHS Supply Chain will be made available for purchase under the Framework Agreement at the sole discretion of NHS Supply Chain. In order to determine whether the Additional and Associated Services will be made available for purchase under the Framework Agreement NHS Supply Chain shall consider a number of different factors, including (but not limited to) whether

the proposed Additional and Associated Services are deemed to be within scope of the procurement exercise under which the Framework Agreement was awarded.

- 22.4 The Supplier acknowledges and agrees that, to the extent relevant, any Additional and Associated Services must comply with the standards set out in the Specification and the Tender Response Document.
- 22.5 Without prejudice to any of the other provisions set out in this Schedule 2, NHS Supply Chain reserves the right to undertake in consultation with the Supplier a review of the Services which are supplied under the Framework Agreement. Following such review, NHS Supply Chain may change supply routes for any of the Services and/or remove certain Services and/or Additional and Associated Services from the Framework Agreement.
- 22.6 Subject to Clause 22.7 of this Schedule 2, any change to the Services or other variation to this Framework Agreement shall only be binding once it has been agreed in writing and signed by an authorised representative of both Parties.
- 22.7 Where a change in Law has occurred, or will occur, in relation to the Data Protection Legislation NHS Supply Chain may amend the applicable provisions of this Framework Agreement and/or the Master Lease Agreement and/or the Loan Agreement, to the extent that it deems reasonably necessary to comply with the change in law in the circumstances, by giving the Supplier no less than 30 days' notice of such amendments.

### **23 Dispute resolution**

- 23.1 During any dispute, including a dispute as to the validity of this Framework Agreement, it is agreed that the Supplier shall continue its performance of the provisions of the Framework Agreement and any Contracts entered into pursuant to this Framework Agreement unless NHS Supply Chain requests in writing that the Supplier does not do so.
- 23.2 In the case of a dispute arising out of or in connection with this Framework Agreement the Supplier and NHS Supply Chain shall make every reasonable effort to communicate and cooperate with each other with a view to resolving the dispute and follow the procedure set out in Clause 23.3 of this Schedule 2 as the first stage in the Dispute Resolution Procedure.
- 23.3 If any dispute arises out of the Framework Agreement either Party may serve a notice on the other Party to commence formal resolution of the dispute. Level 1 of the management levels of the dispute as set out in Clause 1.7 of the Key Provisions will commence on the date of service of the dispute notice. Respective representatives, as set out in Clause 1.7 of the Key Provisions, shall have five (5) Business Days at each level to resolve the dispute before escalating the matter to the next level as appropriate until all levels have been exhausted. The final level of the escalation process shall be deemed exhausted on the expiry of five (5) Business Days following escalation to that level unless otherwise agreed by the Parties in writing.
- 23.4 If the procedure set out in Clause 23.3 of this Schedule 2 above has been exhausted and fails to resolve such dispute, the Parties will attempt to settle it by mediation either:
- 23.4.1 via the Centre for Effective Dispute Resolution ("**CEDR**") or



- 23.4.2 if agreed in writing by the Parties, with an alternative mediation organisation,
- using the respective model procedures of CEDR or such other alternative mediation organisation chosen by the Parties pursuant to this Clause 23.4.
- 23.5 To initiate mediation a Party shall give notice in writing ("**Mediation Notice**") to the other Party requesting mediation of the dispute and send a copy of the Mediation Notice to the mediation organisation agreed by the Parties pursuant to Clause 23.5. Neither Party may issue a Mediation Notice until the process set out in Clause 23.3 of this Schedule 2 has been exhausted.
- 23.6 The Parties shall, acting reasonably, attempt to agree upon a mediator. In the event that the Parties fail to agree a mediator within five (5) Business Days following issue of the Mediation Notice, the Party which issued the Mediation Notice shall request the mediation organisation agreed by the Parties pursuant to Clause 23.4 to nominate a mediator.
- 23.7 The mediation shall commence within twenty eight (28) days of the Mediation Notice being served. Neither Party will terminate such mediation process until each Party has made its opening presentation and the mediator has met each Party separately for at least one hour or one Party has failed to participate in the mediation process. Neither Party will commence legal proceedings against the other until thirty (30) days after such mediation of the dispute in question has failed to resolve the dispute. NHS Supply Chain and the Supplier will cooperate with any person appointed as mediator providing them with such information and other assistance as they shall require and will pay their costs, as they shall determine or in the absence of such determination such costs will be shared equally.
- 23.8 Nothing in this Framework Agreement shall prevent:
- 23.8.1 NHS Supply Chain taking action in any court in relation to any death or personal injury arising or allegedly arising in connection with supply of the Services; or
- 23.8.2 either Party seeking from any court any interim or provisional relief that may be necessary to protect the rights or property of that Party or that relates to the safety of patients or the security of Confidential Information, pending resolution of the relevant dispute in accordance with CEDR or other mediation organisation procedure.
- 23.9 This Clause 23 of this Schedule 2 shall survive the expiry or earlier termination of this Framework Agreement for any reason.

## **24 Force majeure**

- 24.1 Subject to Clause 24.2 of this Schedule 2 neither Party shall be liable to the other for any failure to perform all or any of its obligations under this Framework Agreement nor liable to the other Party for any loss or damage arising out of the failure to perform its obligations to the extent only that such performance is rendered impossible by a Force Majeure Event.
- 24.2 The Supplier shall only be entitled to rely on a Force Majeure Event and the relief set out in this Clause 24 of this Schedule 2 and will not be considered to be in

default or liable for breach of any obligations under this Framework Agreement if:

- 24.2.1 the Supplier has fulfilled its obligations pursuant to Clause 6 of this Schedule 2;
  - 24.2.2 the Force Majeure Event does not arise directly or indirectly as a result of any wilful or negligent act or default of the Supplier; and
  - 24.2.3 the Supplier has complied with the procedural requirements set out in this Clause 24 of this Schedule 2.
- 24.3 Where a Party is (or claims to be) affected by a Force Majeure Event it shall use reasonable endeavours to mitigate the consequences of such a Force Majeure Event upon the performance of its obligations under this Framework Agreement and to resume the performance of its obligations affected by the Force Majeure Event as soon as practicable.
- 24.4 Where the Force Majeure Event affects the Supplier's ability to perform part of its obligations under the Framework Agreement the Supplier shall fulfil all such contractual obligations that are not so affected and shall not be relieved from its liability to do so.
- 24.5 If either Party is prevented or delayed in the performance of its obligations under this Framework Agreement by a Force Majeure Event, that Party shall as soon as reasonably practicable serve notice in writing on the other Party specifying the nature and extent of the circumstances giving rise to its failure to perform or any anticipated delay in performance of its obligations.
- 24.6 Subject to service of such notice, the Party affected by such circumstances shall have no liability for its failure to perform or for any delay in performance of its obligations affected by the Force Majeure Event only for so long as such circumstances continue and for such time after they cease as is necessary for that Party, using its best endeavours, to recommence its affected operations in order for it to perform its obligations.
- 24.7 The Party claiming relief shall notify the other in writing as soon as the consequences of the Force Majeure Event have ceased and of when performance of its affected obligations can be resumed.
- 24.8 If the Supplier is prevented from performance of its obligations as a result of a Force Majeure Event, NHS Supply Chain may at any time if the Force Majeure Event subsists for thirty (30) days or more, terminate this Framework Agreement on service of written notice on the Supplier.
- 24.9 Following such termination in accordance with Clause 24.8 of this Schedule 2 and subject to Clause 24.10 of this Schedule 2, neither Party shall have any liability to the other.
- 24.10 Any rights and liabilities of either Party which accrued prior to such termination in accordance with Clause 24.8 of this Schedule 2 shall continue in full force and effect unless otherwise specified in this Framework Agreement.

## **25 Records retention and right of audit**

- 25.1 Subject to any statutory requirement and Clause 25.2 of this Schedule 2, the

Supplier shall keep secure and maintain for the Term and six (6) years afterwards, or such longer period as may be agreed between the Parties, full and accurate records of all matters relating to this Framework Agreement.

- 25.2 Where any records could be relevant to a claim for personal injury such records shall be kept secure and maintained for a period of twenty one (21) years from the date of expiry or earlier termination of this Framework Agreement.
- 25.3 NHS Supply Chain shall have the right to audit the Supplier's compliance with this Framework Agreement. The Supplier shall permit or procure permission for NHS Supply Chain or its authorised representative during normal business hours having given advance written notice of no less than five (5) Business Days, access to any premises and facilities, books and records reasonably required to audit the Supplier's compliance with its obligations under this Framework Agreement.
- 25.4 Should the Supplier Sub-contract any of its obligations under this Framework Agreement, NHS Supply Chain shall have the right to audit and inspect such third party. The Supplier shall procure permission for NHS Supply Chain or its authorised representative during normal business hours no more than once in any twelve (12) months, having given advance written notice of no less than five (5) Business Days, access to any premises and facilities, books and records used in the performance of the Supplier's obligations under this Framework Agreement that are Sub-contracted to such third party. The Supplier shall cooperate with such audit and inspection and accompany NHS Supply Chain or its authorised representative if requested.
- 25.5 The Supplier shall grant to NHS Supply Chain or its authorised representative, such access to those records as they may reasonably require in order to check the Supplier's compliance with this Framework Agreement for the purposes of:
- 25.5.1 the examination and certification of NHS Supply Chain's accounts; or
  - 25.5.2 any examination pursuant to section 6(1) of the National Audit Act 1983 of the economic efficiency and effectiveness with which NHS Supply Chain has used its resources.
- 25.6 The Comptroller and Auditor General may examine such documents as they may reasonably require which are owned, held or otherwise within the control of the Supplier and may require the Supplier to provide such oral and/or written explanations as they consider necessary. This Clause 25 of this Schedule 2 does not constitute a requirement or agreement for the examination, certification or inspection of the accounts of the Supplier under section 6(3)(d) and 6(5) of the National Audit Act 1983.
- 25.7 The Supplier shall provide reasonable cooperation to NHS Supply Chain, its representatives and any regulatory body in relation to any audit, review, investigation or enquiry carried out in relation to the subject matter of this Framework Agreement.
- 25.8 The Supplier shall provide all reasonable information as may be reasonably requested by NHS Supply Chain to evidence the Supplier's compliance with the requirements of this Framework Agreement.

**26 Conflicts of interest and the prevention of fraud**

- 26.1 The Supplier shall take appropriate steps to ensure that neither the Supplier nor any Staff are placed in a position where, in the reasonable opinion of NHS Supply Chain, there is or may be an actual conflict, or a potential conflict, between the pecuniary or personal interests of the Supplier and the duties owed to NHS Supply Chain under the provisions of this Framework Agreement. The Supplier will disclose to NHS Supply Chain full particulars of any such conflict of interest which may arise.
- 26.2 NHS Supply Chain reserves the right to terminate this Framework Agreement immediately by notice in writing and/or to take such other steps it deems necessary where, in the reasonable opinion of NHS Supply Chain, there is or may be an actual conflict, or a potential conflict, between the pecuniary or personal interests of the Supplier and the duties owed to NHS Supply Chain under the provisions of this Framework Agreement. The actions of NHS Supply Chain pursuant to this Clause 26.2 of this Schedule 2 shall not prejudice or affect any right of action or remedy which shall have accrued or shall subsequently accrue to NHS Supply Chain.
- 26.3 The Supplier shall take all reasonable steps to prevent Fraud by Staff and the Supplier (including its owners, members and directors). The Supplier shall notify NHS Supply Chain immediately if it has reason to suspect that any Fraud has occurred or is occurring or is likely to occur.
- 26.4 If the Supplier or its Staff commits Fraud NHS Supply Chain may terminate this Framework Agreement and recover from the Supplier the amount of any direct loss suffered by NHS Supply Chain resulting from the termination.

## **27 Equality and human rights**

- 27.1 The Supplier shall:
- 27.1.1 ensure that (a) it does not, whether as employer or as supplier of the Services, engage in any act or omission that would contravene the Equality Legislation, and (b) it complies with all its obligations as an employer or supplier of the Services as set out in the Equality Legislation and take reasonable endeavours to ensure its Staff do not unlawfully discriminate within the meaning of the Equality Legislation;
  - 27.1.2 in the management of its affairs and the development of its equality and diversity policies, cooperate with NHS Supply Chain in light of NHS Supply Chain's obligations to comply with its statutory equality duties whether under the Equality Act 2010 or otherwise. The Supplier shall take such reasonable and proportionate steps as NHS Supply Chain considers appropriate to promote equality and diversity, including race equality, equality of opportunity for disabled people, gender equality, and equality relating to religion and belief, sexual orientation and age; and
  - 27.1.3 the Supplier shall impose on all its Sub-contractors and suppliers, obligations substantially similar to those imposed on the Supplier by this Clause 27 of this Schedule 2.
- 27.2 The Supplier shall meet reasonable requests by NHS Supply Chain for information evidencing the Supplier's compliance with the provisions of this Clause 27 of this Schedule 2.
- 27.3 The Supplier shall notify NHS Supply Chain of any investigation of or proceedings against the Supplier under the Equality Legislation as soon as reasonably practicable

and within five (5) Business Days of knowledge of the relevant investigation or service of proceedings (as applicable). The Supplier shall cooperate fully and promptly with any requests of the person or body conducting such investigation or proceedings, including allowing access to any documents or data required, attending any meetings and providing any information requested.

- 27.4 The Supplier shall indemnify NHS Supply Chain against all costs, claims, charges, demands, liabilities, damages, losses and expenses incurred or suffered by NHS Supply Chain arising out of or in connection with any breach or alleged breach of the Equality Legislation by the Supplier, its agents, employees or Sub-contractors.

## **28 Notice**

- 28.1 Any notice required to be given by either Party under this Framework Agreement shall be in writing quoting the date of the Framework Agreement and shall be delivered by hand or sent by prepaid first class recorded delivery or by email to the person referred to in the Key Provisions or such other person as one Party may inform the other Party in writing from time to time.

- 28.2 A notice shall be treated as having been received:

28.2.1 if delivered by hand within normal business hours when so delivered or, if delivered by hand outside normal business hours, at the next start of normal business hours; or

28.2.2 if sent by first class recorded delivery mail on a normal Business Day, at 9.00 am on the second Business Day subsequent to the day of posting, or, if the notice was not posted on a Business Day, at 9.00 am on the third Business Day subsequent to the day of posting; or

28.2.3 if sent by email, if sent within normal business hours when so sent or, if sent outside normal business hours, at the next start of normal business hours provided the sender has either received an electronic confirmation of delivery or has telephoned the recipient to inform the recipient that the email has been sent.

## **29 Assignment, novation and Sub-contracting**

- 29.1 Subject to Clauses 29.2 and 29.3 of this Schedule 2, the Supplier shall not assign, Sub-contract, novate, create a trust in, or in any other way dispose of the whole or any part of this Framework Agreement without the prior consent in writing of NHS Supply Chain, such consent not to be unreasonably withheld or delayed. If the Supplier Sub-contracts any of its obligations under this Framework Agreement, every act or omission of the Sub-contractor shall for the purposes of this Framework Agreement be deemed to be the act or omission of the Supplier and the Supplier shall be liable to NHS Supply Chain as if such act or omission had been committed or omitted by the Supplier itself.

- 29.2 The Supplier may assign, Sub-contract or novate this Framework Agreement to a member of its Group, provided always that such Group member shall have been assessed by NHS Supply Chain and passed to the satisfaction of NHS Supply Chain all grounds for exclusion and shortlisting criteria to be awarded onto this Framework

Agreement.

- 29.3 Notwithstanding Clause 29.1 of this Schedule 2, where the Supplier is a broker or is acting as a broker and it loses the brokerage rights required for it to supply Services on behalf of the original provider of those Services, the Supplier must immediately notify NHS Supply Chain in writing of such loss of rights and shall, if NHS Supply Chain requests, cooperate with NHS Supply Chain and the original provider and take all steps necessary to novate the Framework Agreement (or such part of the Framework Agreement as NHS Supply Chain requests) to the original provider or a replacement broker appointed by the original distributor, provided always that such original provider or replacement broker shall have been assessed by NHS Supply Chain and passed to the satisfaction of NHS Supply Chain all grounds for exclusion and shortlisting criteria to be awarded onto this Framework Agreement.
- 29.4 Any authority given by NHS Supply Chain for the Supplier to Sub-contract any of its obligations under this Framework Agreement shall not impose any duty on NHS Supply Chain to enquire as to the competency of any authorised Sub-contractor. The Supplier shall ensure that any authorised Sub-contractor has the appropriate capability and capacity to perform the relevant obligations and that the obligations carried out by such Sub-contractor are fully in accordance with this Framework Agreement.
- 29.5 Where the Contracting Authority considers that the grounds for exclusion under Regulation 57 of the Public Contracts Regulations 2015 apply to any Sub-contractor, then:
- 29.5.1 if the Contracting Authority finds there are compulsory grounds for exclusion, the Supplier shall ensure, or shall procure, that such Sub-contractor is replaced or not appointed; or
- 29.5.2 if the Contracting Authority finds there are non-compulsory grounds for exclusion, the Contracting Authority may require the Supplier to ensure, or to procure, that such Sub-contractor is replaced or not appointed and the Supplier shall comply with such a requirement.
- 29.6 NHS Supply Chain shall upon written request have the right to review any Sub-contract entered into by the Supplier in respect of the provision of the Services.
- 29.7 The Supplier shall provide a certified copy of any Sub-contract within five (5) Business Days of the date of a written request from NHS Supply Chain. For the avoidance of doubt, the Supplier shall have the right to redact any confidential pricing information in relation to such copies of Sub-contracts.
- 29.8 NHS Supply Chain may at any time transfer, assign, novate, Sub-contract or otherwise dispose of its rights and obligations under this Framework Agreement or any part of this Framework Agreement and the Supplier warrants that it will carry out all such reasonable further acts required to effect such transfer, assignment, novation, Sub-contracting or disposal. If NHS Supply Chain novates this Framework Agreement to any body that is not a Contracting Authority, from the effective date of such novation, the party assuming the position of NHS Supply Chain shall not further transfer, assign, novate, Sub-contract or otherwise dispose of its rights and obligations under this Framework Agreement or any part of this Framework Agreement without the prior written consent of the Supplier, such consent not to

be unreasonably withheld or delayed by the Supplier.

### **30 Prohibited Acts**

30.1 The Supplier warrants and represents that:

30.1.1 it has not committed any offence under the Bribery Act 2010 or done any of the following ("**Prohibited Acts**"):

- (i) offered, given or agreed to give any officer or employee of NHS Supply Chain any gift or consideration of any kind as an inducement or reward for doing or not doing or for having done or not having done any act in relation to the obtaining or performance of this or any other agreement with NHS Supply Chain or for showing or not showing favour or disfavour to any person in relation to this or any other agreement with NHS Supply Chain; or
- (ii) in connection with this Framework Agreement paid or agreed to pay any commission other than a payment, particulars of which (including the terms and conditions of the agreement for its payment) have been disclosed in writing to NHS Supply Chain; and

30.1.2 it has in place adequate procedures to prevent bribery and corruption, as contemplated by section 7 of the Bribery Act 2010.

30.2 If the Supplier or its Staff (or anyone acting on its or their behalf) has done or does any of the Prohibited Acts or has committed or commits any offence under the Bribery Act 2010 with or without the knowledge of the Supplier in relation to this or any other agreement with NHS Supply Chain:

30.2.1 NHS Supply Chain shall be entitled:

- (i) to terminate this Framework Agreement and recover from the Supplier the amount of any loss resulting from the termination;
- (ii) to recover from the Supplier the amount or value of any gift, consideration or commission concerned; and
- (iii) to recover from the Supplier any other loss or expense sustained in consequence of the carrying out of the Prohibited Act or the commission of the offence under the Bribery Act 2010;

30.2.2 any termination under Clause 30.2.1 of this Schedule 2 shall be without prejudice to any right or remedy that has already accrued, or subsequently accrues, to NHS Supply Chain; and

30.2.3 notwithstanding Clause 23 of this Schedule 2, any dispute relating to:

- (i) the interpretation of Clause 30 of this Schedule 2; or
- (ii) the amount or value of any gift, consideration or commission,

30.3 shall be determined by NHS Supply Chain, acting reasonably, and the decision shall

be final and conclusive.

### **31 Modern Slavery**

- 31.1 The Supplier represents and warrants that at the Commencement Date of this Framework Agreement neither the Supplier, nor any of its officers and employees:
- 31.1.1 have been convicted of any offence involving slavery and human trafficking; and
  - 31.1.2 having made reasonable enquiries, so far as it is aware, have been or is the subject of any investigation, inquiry or enforcement proceedings by any governmental, administrative or regulatory body regarding any offence or alleged offence of or in connection with slavery and human trafficking.
- 31.2 The Supplier shall implement due diligence procedures for its Sub-contractors and other participants in its supply chains, to ensure that there is no slavery or human trafficking in its supply chains.
- 31.3 If required by NHS Supply Chain, the Supplier shall prepare and deliver to NHS Supply Chain, a slavery and human trafficking report setting out the steps it has taken to ensure that slavery and human trafficking is not taking place in any of its supply chains or in any part of its business. NHS Supply Chain shall give the Supplier no less than three (3) months' notice to prepare and deliver the report.

### **32 Corporate Social Responsibility Conduct and Compliance**

- 32.1 NHS Supply Chain conducts its activity based on corporate and social responsibility values which are consistent with government policy regarding social responsibility, environmental responsibility, and ethical and human rights.
- 32.2 The Supplier represents and warrants that it:
- 32.2.1 complies with all CSR Laws;
  - 32.2.2 requires its Sub-contractors and any person under its control, to comply with all CSR Laws; and
  - 32.2.3 has adopted a written corporate and social responsibility policy that sets out its values for activity and behaviour (including, without limitation, employees, clients, stakeholders, communities and the environment impacted by the Supplier's business activities).
- 32.3 The Supplier shall notify NHS Supply Chain in the event that its corporate and social responsibility policies conflict with, or do not cover the same subject matter in an equivalent level of detail as is in, the CSR Laws.

### **33 General**

- 33.1 Each of the Parties is independent of the other and nothing contained in this Framework Agreement shall be construed to imply that there is any relationship between the Parties of partnership or of principal/agent or of employer/employee nor are the Parties hereby engaging in a joint venture and accordingly neither of the Parties shall have any right or authority to act on behalf of the other nor to bind the other by agreement or otherwise, unless expressly permitted by the terms of



this Framework Agreement.

- 33.2 Failure or delay by either Party to exercise an option or right conferred by this Framework Agreement shall not of itself constitute a waiver of such option or right.
- 33.3 The delay or failure by either Party to insist upon the strict performance of any provision, term or condition of this Framework Agreement or to exercise any right or remedy consequent upon such breach shall not constitute a waiver of any such breach or any subsequent breach of such provision, term or condition.
- 33.4 Any provision of this Framework Agreement which is held to be invalid or unenforceable in any jurisdiction shall be ineffective to the extent of such invalidity or unenforceability without invalidating or rendering unenforceable the remaining provisions of this Framework Agreement and any such invalidity or unenforceability in any jurisdiction shall not invalidate or render unenforceable such provisions in any other jurisdiction.
- 33.5 Each Party acknowledges and agrees that it has not relied on any representation, warranty or undertaking (whether written or oral) in relation to the subject matter of this Framework Agreement and therefore irrevocably and unconditionally waives any rights it may have to claim damages against the other Party for any misrepresentation or undertaking (whether made carelessly or not) or for breach of any warranty unless the representation, undertaking or warranty relied upon is set out in this Framework Agreement or unless such representation, undertaking or warranty was made fraudulently.
- 33.6 Each Party shall bear its own expenses in relation to the preparation and execution of this Framework Agreement including all costs, legal fees and other expenses so incurred.
- 33.7 The rights and remedies provided in this Framework Agreement are cumulative and not exclusive of any rights or remedies provided by general law, or by any other contract or document. In this Clause 33.7 of this Schedule 2, right includes any power, privilege, remedy, or proprietary or security interest.
- 33.8 No persons other than the Parties to this Framework Agreement and any Participating Authorities shall have the right to enforce the terms of this Framework Agreement which confer a benefit on such person, nor shall any persons other than the Parties to this Framework Agreement be entitled to object to or be required to consent to any amendment to the provisions of this Framework Agreement.
- 33.9 This Framework Agreement, any variation in writing signed by an authorised representative of each Party and any document referred to explicitly in this Framework Agreement or any variation to this Framework Agreement, contain the entire understanding between the Supplier and NHS Supply Chain relating to the operation of this Framework Agreement to the exclusion of all previous agreements, confirmations and understandings and there are no promises, terms, conditions or obligations whether oral or written, express or implied other than those contained or referred to in this Framework Agreement. Nothing in this Framework Agreement seeks to exclude either Party's liability for Fraud. Any tender conditions and/or disclaimers set out in the Contracting Authority's procurement documentation leading to the award of this Framework Agreement shall form part of this Framework Agreement.

- 33.10 This Framework Agreement, and any dispute or claim arising out of or in connection with it or its subject matter (including any non-contractual claims), shall be governed by, and construed in accordance with, the laws of England.
- 33.11 Subject to Clause 23 of this Schedule 2, the Parties irrevocably agree that the courts of England and Wales shall have non-exclusive jurisdiction to settle any dispute or claim that arises out of or in connection with this Framework Agreement or its subject matter.
- 33.12 All written and oral communications and all written material referred to under this Framework Agreement shall be in English.
- 33.13 Each Party agrees to sign this Framework Agreement by electronic signature and that this method of signature is as conclusive of each Party's intention to be bound by this Framework Agreement as if signed by each Party's manuscript signature.
- 33.14 Electronic signatures which the Parties can use to sign the Framework Agreement on behalf of their organisation are:
- 33.14.1 typing the name of the person who is signing the Framework Agreement on behalf of the Party into the box marked "Signature" for the relevant Party in the Framework Agreement Signature page;
  - 33.14.2 inserting a scanned copy of a signature of the person who is signing the Framework Agreement on behalf of the Party into the box marked "Signature" for the relevant Party in the Framework Agreement Signature page; or
  - 33.14.3 use of Electronic Document Signing software for the relevant Party in the Framework Agreement Signature page.
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## Schedule 3 Information and Data Provisions

### 1 **Confidentiality**

- 1.1 In respect of any Confidential Information it may receive directly or indirectly from the other Party ("**Discloser**") and subject always to the remainder of Clause 1 of this Schedule 3, each Party ("**Recipient**") undertakes to keep secret and strictly confidential and shall not disclose any such Confidential Information to any third party without the Discloser's prior written consent provided that:
- 1.1.1 the Recipient shall not be prevented from using any general knowledge, experience or skills which were in its possession prior to the Commencement Date;
  - 1.1.2 the provisions of Clause 1 of this Schedule 3 shall not apply to any Confidential Information:
    - (i) which is in or enters the public domain other than by breach of this Framework Agreement or other act or omissions of the Recipient;
    - (ii) which is obtained from a third party who is lawfully authorised to disclose such information without any obligation of confidentiality;
    - (iii) which is authorised for disclosure by the prior written consent of the Discloser;
    - (iv) which the Recipient can demonstrate was in its possession without any obligation of confidentiality prior to receipt of the Confidential Information from the Discloser; or
    - (v) which the Recipient is required to disclose purely to the extent to comply with the requirements of any relevant stock exchange.
- 1.2 Nothing in Clause 1 of this Schedule 3 shall prevent the Recipient from disclosing Confidential Information in accordance with the Government's Transparency Agenda. (including but not limited to the Transparency Guidance) and/or where it is required to do so by judicial, administrative, governmental or regulatory process in connection with any action, suit, proceedings or claim or otherwise by applicable Law, including the Freedom of Information Act 2000 ("**FOIA**"), Codes of Practice on Access to Government Information, on the Discharge of Public Authorities' Functions or on the Management of Records ("**Codes of Practice**") or the Environmental Information Regulations 2004 ("**Environmental Regulations**").
- 1.3 NHS Supply Chain may disclose the Supplier's Confidential Information:
- 1.3.1 on a confidential basis, to any Contracting Authority (the Parties agree that all Contracting Authorities receiving such Confidential Information

shall be entitled to further disclose the Confidential Information to other Contracting Authorities on the basis that the information is confidential and is not to be disclosed to a third party which is not part of any Contracting Authority);

- 1.3.2 on a confidential basis, to any consultant, contractor or other person engaged by NHS Supply Chain and/or the Contracting Authority receiving such information;
- 1.3.3 to any relevant party for the purpose of the examination and certification of NHS Supply Chain's accounts;
- 1.3.4 to any relevant party for any examination pursuant to section 6(1) of the National Audit Act 1983 of the economy, efficiency and effectiveness with which NHS Supply Chain has used its resources;
- 1.3.5 to Parliament and Parliamentary Committees or if required by any Parliamentary reporting requirements; or
- 1.3.6 on a confidential basis, to a proposed successor body in connection with any proposed or actual, assignment, novation or other disposal of rights, obligations, liabilities or property in connection with this Framework Agreement,

and for the purpose of this Framework Agreement, references to disclosure "on a confidential basis" shall mean NHS Supply Chain making clear the confidential nature of such information and that it must not be further disclosed except in accordance with Law or this Clause 1.3 of this Schedule 3.

- 1.4 The Supplier may only disclose NHS Supply Chain's Confidential Information, and any other information provided to the Supplier by NHS Supply Chain in relation to the operation of this Framework Agreement, to the Supplier's Staff or professional advisors who are directly involved in the performance of or advising on the Supplier's obligations under this Framework Agreement. The Supplier shall ensure that such Staff and professional advisors are aware of and shall comply with the obligations in Clause 1 of this Schedule 3 as to confidentiality and that all information, including Confidential Information, is held securely, protected against unauthorised use or loss and, at NHS Supply Chain's written discretion, destroyed securely or returned to NHS Supply Chain when it is no longer required. The Supplier shall not, and shall ensure that the Staff do not, use any of NHS Supply Chain's Confidential Information received otherwise than for the purposes of performing the Supplier's obligations in this Framework Agreement.
- 1.5 Nothing in this Clause 1 of this Schedule 3 shall prevent the Recipient from disclosing the Confidential Information to its Group companies, provided that the Recipient procures that such Group companies comply with this Clause 1 of this Schedule 3 as if each reference to the Recipient in this Clause 1 of this Schedule 3 is a reference to any such Group company receiving the Confidential Information.
- 1.6 For the avoidance of doubt, save as required by Law or as otherwise set out in this Schedule 3, the Supplier shall not, without the prior written consent of NHS Supply Chain (such consent not to be unreasonably withheld or delayed), announce that it has entered into this Framework Agreement and/or that it has been appointed as a Supplier to NHS Supply Chain and/or make any other announcements about this Framework Agreement.
- 1.7 Clause 1 of this Schedule 3 shall remain in force:
  - 1.7.1 without limit in time in respect of Confidential Information which comprises Personal Data, Special Category Data or which relates to national security; and
  - 1.7.2 for all other Confidential Information for a period of three (3) years after the expiry or earlier termination of this Framework Agreement unless otherwise

agreed in writing by the Parties.

## **2 Data protection**

- 2.1 The Parties acknowledge their respective duties under Data Protection Legislation and any other Law relating to the protection of Personal Data and the privacy of individuals, including where applicable guidance and codes of practice issued by the Information Commissioner and shall give each other all reasonable assistance as appropriate or necessary to enable each other to comply with those duties. For the avoidance of doubt, the Supplier shall take reasonable steps to ensure it is familiar with such Law and the Data Protection Legislation and shall comply with such obligations.
- 2.2 Processing of Personal Data Under the Framework Agreement:
- 2.2.1 The Parties do not envisage the transfer of Personal Data under this Framework Agreement save for the exchange of Personal Data relating to their personnel for the purposes of participating in the procurement process and any ordering procedures undertaken by a Participating Authority.
- 2.2.2 The Parties agree that they shall each be a Data Controller in respect of such Personal Data exchanged as described in Clause 2.2.1 above and shall comply with their respective obligations under the Data Protection Legislation.
- 2.3 The Supplier shall indemnify and keep NHS Supply Chain indemnified against, any loss, damages, costs, expenses (including without limitation legal costs and expenses), claims or proceedings whatsoever or howsoever arising from the Supplier's unlawful or unauthorised Processing, destruction and/or damage to Personal Data in connection with this Framework Agreement.

## **3 Freedom of Information and Transparency**

- 3.1 The Parties acknowledge the duties of Contracting Authorities under the FOIA, Codes of Practice and Environmental Regulations and shall give each other all reasonable assistance as appropriate or necessary to enable compliance with those duties.
- 3.2 The Supplier shall assist and cooperate with NHS Supply Chain to enable it to comply with its disclosure obligations under the FOIA, Codes of Practice and Environmental Regulations. The Supplier agrees:
- 3.2.1 that this Framework Agreement and any recorded information held by the Supplier on NHS Supply Chain's behalf for the purposes of this Framework Agreement are subject to the obligations and commitments of NHS Supply Chain under the FOIA, Codes of Practice and Environmental Regulations;
- 3.2.2 that the decision on whether any exemption to the general obligations of public access to information applies to any request for information received under the FOIA, Codes of Practice and Environmental Regulations is a decision solely for NHS Supply Chain;
- 3.2.3 that where the Supplier receives a request for information under the FOIA, Codes of Practice and Environmental Regulations and the Supplier itself is subject to the FOIA, Codes of Practice and Environmental Regulations it will liaise with NHS Supply Chain as to the contents of any response before a response to a request is issued and will promptly (and in any event within two (2) Business Days) provide a copy of the request and any response to NHS Supply Chain;
- 3.2.4 that where the Supplier receives a request for information under the FOIA, Codes of Practice and Environmental Regulations and the Supplier is not itself subject to

the FOIA, Codes of Practice and Environmental Regulations, it will not respond to that request (unless directed to do so by NHS Supply Chain) and will promptly (and in any event within two (2) Business Days) transfer the request to NHS Supply Chain;

3.2.5 that NHS Supply Chain, acting in accordance with the Codes of Practice issued and revised from time to time under both section 45 of FOIA, and regulation 16 of the Environmental Regulations, may disclose information concerning the Supplier and this Framework Agreement; and

3.2.6 to assist NHS Supply Chain in responding to a request for information, by processing information or environmental information (as the same are defined in FOIA and the Environmental Regulations) in accordance with a records management system that complies with all applicable records management recommendations and codes of conduct issued under section 46 of FOIA, and providing copies of all information requested by NHS Supply Chain within five (5) Business Days of that request and without charge.

3.3 The Parties acknowledge that, except for any information which is exempt from disclosure in accordance with the provisions of the FOIA, Codes of Practice and Environmental Regulations, the content of this Framework Agreement is not Confidential Information.

3.4 Notwithstanding any other term of this Framework Agreement, the Supplier consents to the publication of this Framework Agreement in its entirety (including variations), subject only to the redaction of information that is exempt from disclosure in accordance with the provisions of the FOIA, Codes of Practice and Environmental Regulations.

3.5 In preparing a copy of this Framework Agreement for publication under Clause 3.4 of this Schedule 3, NHS Supply Chain may consult with the Supplier to inform decision making regarding any redactions but the final decision in relation to the redaction of information will be at NHS Supply Chain's absolute discretion.

3.6 The Supplier shall assist and cooperate with NHS Supply Chain to enable NHS Supply Chain to publish this Framework Agreement and shall comply with the Transparency Guidance if and when applicable.

3.7 Where any information is held by any Sub-contractor of the Supplier in connection with this Framework Agreement, the Supplier shall procure that such Sub-contractor shall comply with the relevant obligations set out in Clause 3 of this Schedule 3, as if such Sub-contractor were the Supplier.

#### **4 Information Security**

4.1 Without limitation to any other information governance requirements set out in this Schedule 3, the Supplier shall:

4.1.1 notify NHS Supply Chain forthwith of any information security breaches or near misses (including without limitation any potential or actual breaches of confidentiality or actual information security breaches) in line with NHS Supply Chain's information governance Policies; and

4.1.2 fully cooperate with any audits or investigations relating to information security and any privacy impact assessments undertaken by NHS Supply Chain and shall provide full information as may be reasonably requested by NHS Supply Chain in relation to such audits, investigations and assessments.

- 4.2 The Supplier must obtain within 6 months from the "Commencement Date" and maintain certification under the HM Government Cyber Essentials Scheme at the level set out in the Specification and Tender Response Document.

## Schedule 4 Definitions and Interpretations

### 1 **Definitions**

1.1 In this Framework Agreement the following words shall have the following meanings unless the context requires otherwise, other than in relation to Master Lease Agreement at Schedule 8 and/or the Loan Agreement at Schedule 9.

<b>"Act"</b>	means the National Health Service Act 2006 (as amended);
<b>"Additional and Associated Services"</b>	shall have the meaning given to it in Clause 22.2 of Schedule 2
<b>"Basic DBS Check"</b>	means a basic check of an individual's criminal record processed by the Disclosure and Barring Service (or any successor body) and as defined at the following government website (as may be updated from time to time):  <a href="https://www.gov.uk/government/collections/dbs-checking-service-guidance--2">https://www.gov.uk/government/collections/dbs-checking-service-guidance--2</a> ;
<b>"Business Continuity Event"</b>	means any event or issue that could impact on the operations of the Supplier and its ability to fulfil its obligations under this Framework Agreement including an influenza pandemic and any Force Majeure Event;
<b>"Business Continuity Plan"</b>	means the Supplier's business continuity plan which includes its plans for continuity of the supply of the Services during a Business Continuity Event;
<b>"Business Day"</b>	means any day other than Saturday, Sunday, Christmas Day, Good Friday or a statutory bank holiday in England and Wales;
<b>"Capital Value of the Goods"</b>	means the market value of goods as set out in each Residual Lease or No Residual Value Lease Schedule ;
<b>"Codes of Practice"</b>	shall have the meaning given to the term in Clause 1.2 of Schedule 3;
<b>"Commencement Date"</b>	means [ <b>date to be inserted upon award</b> ];
<b>"Commercial Schedule"</b>	means the document set out at Schedule 6
<b>"Confidential Information"</b>	means information, data and material of any nature, which either Party may receive or obtain in connection with the conclusion and/or operation of the Framework Agreement including any procurement process which is:  (a) Personal Data or Special Category Data including without limitation which relates to any patient or other service user or his or her treatment or clinical or care history;  (b) designated as confidential by either Party or that ought reasonably to be considered as confidential (however it is conveyed or on whatever media it is stored); and/or



	(c) Policies and such other documents which the Supplier may obtain or have access to through NHS Supply Chain's intranet;
<b>"Contract"</b>	means any contract entered into under this Framework Agreement with the Supplier by any Participating Authority;
<b>"Contracting Authority"</b>	means any contracting authority as defined in regulation 2 of the Public Contracts Regulations 2015 (2015/102), other than NHS Supply Chain;
<b>"Contract Manager"</b>	means for NHS Supply Chain and for the Supplier the individuals specified in the Key Provisions or such other person notified by a Party to the other Party from time to time in accordance with Clause 8.1 of Schedule 2;
<b>"Contract Price"</b>	means the price exclusive of VAT that is payable to the Supplier by a Participating Authority under any Contract for the full and proper performance by the Supplier of its obligations under such Contracts (as calculated in accordance with the provisions of the Commercial Schedule) and as confirmed in the relevant Order Form relating to the particular Contract;
<b>"CSR Laws"</b>	means Laws relating to corporate social responsibility issues (e.g. anti-bribery and corruption, health and safety, the environmental and sustainable development, equality and diversity), including but not limited to the Modern Slavery Act 2015, the Public Services (Social Value) Act 2012, the Public Contracts Regulations 2015 and Article 6 of the Energy Efficiency Directive 2012/27/EU, from time to time in force;
<b>"Data Protection Legislation"</b>	means: (a) the General Data Protection Regulation (Regulation (EU) 2016/679) as incorporated into UK legislation by way of the European Union (Withdrawal Agreement) Act 2020 and as amended by UK Law ( <b>UK GDPR</b> ); (b) the Data Protection Act 2018; (c) all applicable Law concerning privacy, confidentiality or the Processing or other use of personal information including but not limited to the Human Rights Act 1998, the Health and Social Care (Safety and Quality) Act 2015, the common law duty of confidentiality and the Privacy and Electronic Communications (EC Directive) Regulations 2003;;
<b>"Direct Route of Supply"</b>	means a route of supply whereby the Authority (which is a Participating Authority who is not NHS Supply Chain) places an Order with the Supplier, which is delivered and invoiced directly to that Authority;
<b>"Dispute Resolution Procedure"</b>	means the process for resolving disputes as set out in Clause 23 of Schedule 2;
<b>"DOTAS"</b>	means the Disclosure of Tax Avoidance Schemes rules which require a promoter of tax schemes to tell HM Revenue & Customs of any specified notifiable arrangements or proposals and to provide prescribed information on those arrangements or proposals within set time limits as contained in Part 7 of the

	Finance Act 2004 and in secondary legislation made under vires contained in Part 7 of the Finance Act 2004 and as extended to National Insurance Contributions by the National Insurance Contributions (Application of Part 7 of the Finance Act 2004) Regulations 2012, SI 2012/1868 made under s.132A Social Security Administration Act 1992;
<b>“Environmental Regulations”</b>	shall have the meaning given to the term in Clause 1.2 of Schedule 3;
<b>“E-Procurement Guidance”</b>	means the NHS E-Procurement Strategy available via:  <a href="http://www.gov.uk/government/collections/nhs-procurement">http://www.gov.uk/government/collections/nhs-procurement</a>  together with any further Guidance issued by the Department of Health in connection with it;
<b>“Equality Legislation”</b>	means any and all legislation, applicable guidance and statutory codes of practice relating to equality, diversity, non-discrimination and human rights as may be in force in England and Wales from time to time including, but not limited to, the Equality Act 2010, the Part-time Workers (Prevention of Less Favourable Treatment) Regulations 2000 and the Fixed-term Employees (Prevention of Less Favourable Treatment) Regulations 2002 (SI 2002/2034) and the Human Rights Act 1998;
<b>“EU GPP Guidance”</b>	the guidance in relation to EU Green Procurement as may be amended or updated from time to time, available via:  <a href="http://ec.europa.eu/environment/gpp/gpp_criteria_en.htm">http://ec.europa.eu/environment/gpp/gpp_criteria_en.htm</a>  and all supplemental guidance;
<b>“FOIA”</b>	shall have the meaning given to the term in Clause 1.2 of Schedule 3;
<b>“Force Majeure Event”</b>	means any event beyond the reasonable control of the Party in question to include, without limitation:  (d) war including civil war (whether declared or undeclared), riot, civil commotion or armed conflict materially affecting either Party’s ability to perform its obligations under this Framework Agreement;  (e) acts of terrorism;  (f) flood, storm or other natural disasters;  (g) fire;  (h) unavailability of public utilities and/or access to transport networks to the extent no diligent supplier could reasonably have planned for such unavailability as part of its business continuity planning;  (i) government requisition or impoundment to the extent such requisition or impoundment does not result from any failure by the Supplier to comply with any relevant regulations, laws or procedures (including such laws or regulations relating to the payment of any duties or taxes) and subject to the Supplier having used all

	<p>reasonable legal means to resist such requisition or impoundment;</p> <p>(j) compliance with any local law or governmental order, rule, regulation or direction applicable outside of England and Wales that could not have been reasonably foreseen;</p> <p>(k) industrial action which affects the ability of the Supplier to provide the Services, but which is not confined to the workforce of the Supplier or the workforce of any Sub-contractor of the Supplier; and</p> <p>(l) a failure in the Supplier's and/or Contracting Authority's supply chain to the extent that such failure is due to any event suffered by a member of such supply chain, which would also qualify as a Force Majeure Event in accordance with this definition had it been suffered by one of the Parties;</p> <p>but excluding, for the avoidance of doubt:</p> <p>(i) the withdrawal of the United Kingdom from the European Union and any related circumstances, events, changes or requirements; and</p> <p>(ii) any severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) outbreak, epidemic or pandemic ongoing as at the Commencement Date or which occurs during the Term of this Framework Agreement;</p>
<b>"Framework Agreement"</b>	means the form of framework agreement at the front of this document and all schedules attached to the form of framework agreement;
<b>"Fraud"</b>	means any offence under any law in respect of fraud in relation to this Framework Agreement or defrauding or attempting to defraud or conspiring to defraud the government, parliament or any Contracting Authority;
<b>"General Anti-Abuse Rule"</b>	Means <p>(j) the legislation in Part 5 of the Finance Act 2013; and</p> <p>(ii) any future legislation introduced into parliament to counteract tax advantages arising from abusive arrangements to avoid national insurance contributions;</p>
<b>"Goods"</b>	means the assets, goods, materials or articles described in a Residual Value Lease or No Residual Value Lease and includes all accessories, additions, substitutions, replacements, modifications, amendments, spare parts, manuals, certificates and other documents supplied with such goods, and such term shall, as the context requires;

<p><b>“Good Industry Practice”</b></p>	<p>means the exercise of that degree of skill, diligence, prudence, risk management, quality management and foresight which would reasonably and ordinarily be expected from a skilled and experienced supplier engaged in the manufacture and/or supply of /or services similar to the / Services under the same or similar circumstances as those applicable to this Framework Agreement, including in accordance with any codes of practice published by relevant trade associations;</p>
<p><b>“Group”</b></p>	<p>means in relation to a Party, that Party, any subsidiary or holding company from time to time of that Party, and any subsidiary from time to time of a holding company of that Party and holding company and subsidiary company shall have the meaning given in Section 1159 of the Companies Act 2006;</p>
<p><b>“Guidance”</b></p>	<p>means any applicable guidance, direction or determination and any policies, advice or industry alerts which apply to the Services, to the extent that the same are published and publicly available or the existence or contents of them have been notified to the Supplier by NHS Supply Chain and/or have been published and/or notified to the Supplier by the Department of Health, Monitor, NHS England, NHS Digital the Medicines and Healthcare Products Regulatory Agency, the Information Commissioner, the Care Quality Commission, any successor body of the foregoing, and/or any other regulator or competent body;</p>
<p><b>“Halifax Abuse Principle”</b></p>	<p>means the principle explained in the CJEU Case C-255/02 Halifax and others;</p>
<p><b>“HM Government Cyber Essentials Scheme”</b></p>	<p>means the HM Government Cyber Essentials Scheme as further defined in the documents relating to this scheme published at: <a href="https://www.gov.uk/government/publications/cyber-essentials-scheme-overview">https://www.gov.uk/government/publications/cyber-essentials-scheme-overview</a></p>
<p><b>“Intellectual Property Rights”</b></p>	<p>means all patents, copyright, design rights, registered designs, trademarks, know-how, database rights, confidential formulae and any other intellectual property rights and the rights to apply for patents and trademarks and registered designs;</p>
<p><b>“Key Provisions”</b></p>	<p>means the key provisions set out in Schedule 1;</p>
<p><b>“Law”</b></p>	<p>Means any applicable legal requirements, including without limitation:</p> <ul style="list-style-type: none"> <li>(a) any applicable statute or proclamation or any delegated or subordinate legislation or regulation as applicable in England and Wales;</li> <li>any applicable European Union directive, regulation, decision or law;</li> <li>(b) any enforceable community right within the meaning of section 2(1) European Communities Act 1972;</li> </ul>

	<p>(c) any applicable judgment of a relevant court of law which is a binding precedent in England and Wales;</p> <p>(d) requirements set by any regulatory body as applicable in England and Wales; and</p> <p>(e) any applicable code of practice as applicable in England and Wales,</p> <p>(f) any relevant collective agreement and/or international law provisions (to include, without limitation, as referred to in (a) to (f) above).</p>
<b>"Lease"</b>	has the meaning given in the Master Lease Agreement;
<b>"Loan Agreement"</b>	means the loan agreement to be entered into between the Supplier and the Participating Authority on the same or substantially the same terms as the loan agreement set out in Schedule 9;
<b>"Loan"</b>	has the meaning given in the Loan Agreement;
<b>"Management Fee"</b>	has the meaning given under Clause 9.2 of Schedule 2;
<b>"Mediation Notice"</b>	has the meaning given under Clause 23.4 of Schedule 2;
<b>"Master Lease Agreement"</b>	means the lease agreement to be entered into between the Supplier and the Participating Authority on the same or substantially the same terms as the lease agreement set out in Schedule 8;
<b>"NHS"</b>	means the National Health Service;
<b>NHS Foundation Trust</b>	means an NHS foundation trust listed in the register of NHS foundation trusts maintained pursuant to section 39 of the Act;
<b>NHS Trust</b>	means a body established under section 25 of the Act;
<b>"NHS Supply Chain's Obligations"</b>	means NHS Supply Chain's further obligations, if any, referred to in the Specification and Tender Response Document;
<b>"Non Residual Value Lease Schedule"</b>	means the document that Lists and describes the item(s) to be leased, the required lease payments, the Sale Proceeds Percentages and other terms applicable to the lease
<b>"Occasion of Tax Non-Compliance"</b>	<p>means:</p> <p>(a) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 is found on or after 1 April 2013 to be incorrect as a result of:</p> <p>(i) a Relevant Tax Authority successfully challenging the Supplier under the General Anti-Abuse Rule or the Halifax Abuse Principle or under any tax rules or legislation that have an effect equivalent or similar to the General Anti-Abuse Rule or the Halifax Abuse</p>

	<p>Principle;</p> <p>(ii) the failure of an avoidance scheme which the Supplier was involved in, and which was, or should have been, notified to a Relevant Tax Authority under the DOTAS or any equivalent or similar regime; and/or</p> <p>(b) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 gives rise, on or after 1 April 2013, to a criminal conviction in any jurisdiction for tax related offences which is not spent at the Effective Date or to a civil penalty for fraud or evasion;</p>
<b>"Order Form"</b>	means the document (or documents) used to set out the Participating Authority's detailed requirements for an Order, on which Orders are to be placed;
<b>"Ordering Procedure"</b>	means the procedure enabling Participating Authorities to call-off Services and enter into Contracts under this Framework Agreement;
<b>"Order"</b>	means an order for Services placed under this Framework Agreement by a Participating Authority;
<b>"Participating Authority"</b>	means a Contracting Authority entitled to place Orders under this Framework Agreement including NHS Supply Chain and any other Contracting Authority as set out in the Key Provisions;
<b>"Party"</b>	means NHS Supply Chain or the Supplier as appropriate and Parties means both NHS Supply Chain and the Supplier;
<b>"Personal Data"</b>	means personal data as defined in the Data Protection Legislation;
<b>"Policies"</b>	means the policies, rules and procedures of NHS Supply Chain as notified to the Supplier from time to time;
<b>"Primary Lease"</b>	means a Lease for the Primary Period;
<b>"Primary Period"</b>	means the initial period of a Lease that shall be as agreed between the Supplier and the Participating Authority;
<b>"Process"</b>	has the meaning given to it under the Data Protection Legislation. Processing and Processed shall be construed accordingly;
<b>"Product Information"</b>	means information (including images) concerning the Services as may be reasonably requested by NHS Supply Chain and supplied by the Supplier to NHS Supply Chain in accordance with Clause 21 of Schedule 2 for inclusion in NHS Supply Chain's product catalogue from time to time;
<b>"Prohibited Acts"</b>	has the meaning given under Clause 30.1.1 of Schedule 2;

<b>"Reference Site"</b>	means a Participating Authority who agrees to showcase and otherwise promote the Supplier's product at the Participating Authority's premises;
<b>"Remedial Proposal"</b>	has the meaning given under Clause 16.2 of Schedule 2;
<b>"Residual Value Lease Schedule"</b>	means the document that Lists and describes the item(s) to be leased, the required lease payments, the residual value figure and other terms applicable to the lease
<b>"Secondary Lease"</b>	means a Primary Period Lease that is extended beyond the Primary Period as agreed between the Supplier and the Participating Authority;
<b>"Special Category Data"</b>	means special category personal data as defined in the Data Protection Legislation;
<b>"Services"</b>	means any services set out in the Order Form and as may be more particularly described in the Specification, which are purchased by Participating Authorities under Contracts placed under this Framework Agreement and/or made available for purchase under the Framework Agreement in accordance with Clause 21 of Schedule 2, details of such Services being set out in the Specification and Tender Response Document and any Order (including but not limited to Lease services and Loan services);
<b>"Soft Costs"</b>	means costs included in the total value of the Order in addition to the Capital Value of the Goods, as set out in each Residual Lease or No Residual Value Lease Schedule and included but not limited to the costs of build works, installation, training, software, delivery costs, maintenance contract & design fees.
<b>"Software"</b>	means the software provided by the Supplier as set out in the relevant Order and any new releases, modifications, enhancements or updates thereto;
<b>"Special Offer Price"</b>	has the meaning given under Clause 2.1 of Schedule 6;
<b>"Specification"</b>	means the document set out in Schedule 5(a) as amended and/or updated in accordance with this Framework Agreement;
<b>"Staff"</b>	means all persons employed or engaged by the Supplier to perform its obligations under this Framework Agreement including any Sub-contractors and person employed or engaged by such Sub-contractors;
<b>"Sub-contract"</b>	means a contract between two or more suppliers, at any stage of remoteness from the Supplier in a sub-contracting chain, made wholly or substantially for the purpose of performing (or contributing to the performance of the whole or any part of this Framework Agreement);
<b>"Sub-contractor"</b>	means a party to a Sub-contract other than the Supplier;

<b>"Supplier"</b>	means the supplier named on the form of Framework Agreement on the first page;
<b>"Tender Response Document"</b>	means the document set out in Schedule 5 Schedule 5(b) as accepted by NHS Supply Chain;
<b>"Term"</b>	means the term as set out in the Key Provisions;
<b>"Third Party Body"</b>	has the meaning given under Clause 8.48.4 of Schedule 2 Schedule 2;
<b>"Transparency Guidance"</b>	the guidance in relation to the publication of tender documentation and the publication of contracts, available via:  <a href="https://www.gov.uk/government/collections/nhs-procurement">https://www.gov.uk/government/collections/nhs-procurement</a>  and all supplemental guidance; and
<b>"VAT"</b>	means value added tax chargeable under the Value Added Tax Act 1994 or any similar, replacement or extra tax.
<b>"UK GDPR"</b>	has the meaning given to it in section 3(10) (as supplemented by section 205(4)) of the Data Protection Act 2018;

- 1.2 References to any statute or order shall include any statutory extension, modification or re-enactment, and any order, regulation, bye-law or other subordinate legislation.
- 1.3 References to any legal entity shall include any body that takes over responsibility for the functions of such entity.
- 1.4 References in this Framework Agreement to a "Schedule", "Appendix", "Paragraph" or to a "Clause" are to schedules, appendices, paragraphs and clauses of this Framework Agreement.
- 1.5 References in this Framework Agreement to a day or to the calculation of time frames are references to a calendar day unless expressly specified as a Business Day.
- 1.6 Unless set out in the Commercial Schedule as a chargeable item and subject to Clause 33.6 of Schedule 2, the Supplier shall bear the cost of complying with its obligations under this Framework Agreement.
- 1.7 The headings are for convenience only and shall not affect the interpretation of this Framework Agreement.
- 1.8 Words denoting the singular shall include the plural and vice versa.
- 1.9 Where a term of this Framework Agreement provides for a list of one or more items following the word "including" or "includes" then such list is not to be interpreted as an exhaustive



list. Any such list shall not be treated as excluding any item that might have been included in such list having regard to the context of the contractual term in question. General words are not to be given a restrictive meaning where they are followed by examples intended to be included within the general words.

- 1.10 Where there is a conflict between the Supplier's responses to NHS Supply Chain's requirements set out in the Specification in the Tender Response Document and any other part of this Framework Agreement, such other part of this Framework Agreement shall prevail.
  - 1.11 Where a document is required under this Framework Agreement, the Parties may agree in writing that this shall be in electronic format only.
  - 1.12 Any guidance notes in grey text do not form part of this Framework Agreement.
  - 1.13 Unless the context otherwise requires, any reference to European Union law that is directly applicable or directly effective at any time is a reference to it as it applies in England and Wales from time to time including as retained, amended, extended, re-enacted or otherwise given effect on or after 11pm on 31 January 2020.
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**Schedule 5**  
**Schedule 5(a) Specification**

***To be inserted upon award as part of the final Framework Agreement***

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**Schedule 5(b) Tender Response Document**

***To be inserted upon award as part of the final Framework Agreement***

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## Schedule 6 Commercial Schedule

### 1 **Contract Price**

- 1.1 The Contract Price shall be the price (including volume related pricing) set out in the Tender Response Document (Appendix 1 to this Schedule 6) or as otherwise agreed between the Authority and the Supplier).

### 2 **Special Offers and discounts**

- 2.1 At any time during the Term of the Framework Agreement either Party may approach the other to discuss special offers ("**Special Offer Price**") and/or discounts.
- 2.2 For the avoidance of doubt, neither Party shall be obliged to accept any offer made by the other.

### 3 **Management Fee**

- 3.1 : The Management Fee shall apply to all Orders for Services placed pursuant to this Framework Agreement (including Orders placed for Primary Leases, Secondary Leases and Loans), in accordance with Clause 9 of Schedule 2 and the Management Fee payable shall be set out below:

3.1.1 with regard to each Primary Lease, the Management Fee will be equivalent to one per cent (1%) of the total Order value of the Goods and Services, detailed in each lease schedule (that being each Residual Value Lease or No Residual Value Lease) inclusive of the Capital Value of the Goods and Soft Costs and excluding interest, subject always to the Supplier being required to pay per Primary Lease a minimum Management Fee of £150.00 (excluding VAT at the applicable rate) and a maximum fee of £5,000 (excluding VAT at the applicable rate);

3.1.2 with regard to each Secondary Lease, the Management Fee will be £300.00; and

3.1.3 with regard to each Loan, the Management Fee will be equivalent to one per cent (1%) of the total Loan Amount detailed in the Utilisation Schedule, excluding interest, subject always to the Supplier being required to pay per Loan a minimum Management Fee of £150.00 (excluding VAT at the applicable rate) and a maximum fee of £5,000 (excluding VAT at the applicable rate).

- 3.2 The Management Fee shall apply to all Orders in accordance with Clause 9 of Schedule 2.

### 4 **Implementation of price changes**

- 4.1 Once a price variation has been agreed by both Parties pursuant to this Schedule 6 the new Contract Price shall take effect within thirty (30) days of the date of agreement, or such other period as is agreed by the Parties.
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**Appendix 1 to Schedule 6**

**Pricing Schedule**

***To be inserted upon award as part of the final Framework Agreement***

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## Schedule 7 Re-opening Competition and Call Off

### 1. Re-opening of competition

- 1.1 Due to the nature of the Services to be procured under this Framework Agreement, which are commissioned to meet the particular specific requirements of a Participating Authority, NHS Supply Chain may choose to re-open competition under this Framework Agreement.
- 1.2 NHS Supply Chain may in its absolute discretion:
  - 1.2.1 undertake a mini-competition between suppliers deemed capable of performing the relevant Contract / Order ("capable suppliers") based upon a Participating Authority's bespoke requirements. In the event that a mini-competition is undertaken, NHS Supply Chain may introduce further criteria and award weightings and/or refine the award criteria (including the option to conduct further competition on the basis of price alone), to meet the specific requirements of the Participating Authority; or
  - 1.2.2 seek from (i) one capable supplier; or (ii) some capable suppliers; or (iii) all capable suppliers (which in each case may not include the Supplier) an offer by way of quotation in relation to (a) a specific requirement of a Participating Authority; or (b) a proposed purchase by NHS Supply Chain. Such a specific requirement and/or proposed purchase could be based upon any combination, in whole or in part, of the Services; or
  - 1.2.3 request an offer by way of quotation on the basis of:
    - 1.2.3.1 aggregated requirements across multiple Participating Authorities; and/or
    - 1.2.3.2 a commitment by NHS Supply Chain or a Participating Authority or Participating Authorities to purchase a specified volume or value of Goods during an agreed period of time for which the supplier may be paid in advance; or
  - 1.2.4 reopen competition using an eAuction or other methodologies advised to suppliers.
- 1.3 Offers may also be sought from one or more suppliers in circumstances where a Participating Authority has previously undertaken its own trials. The result of any such trial, and the detail of the processes by which they have been conducted may not be known by NHS Supply Chain, and will be the sole responsibility of the relevant Participating Authority. NHS Supply Chain may request offers from those suppliers identified as capable suppliers by the Participating Authority following its own trials. Following receipt of any such offers it will be for the Participating Authority to determine whether to place an Order for Services.
- 1.4 Where more than one Supplier is invited to submit an offer the offers received shall be evaluated using such criteria as NHS Supply Chain or a Participating Authority shall determine (including financial evaluation only) and in each case the terms of the resulting Contract (including the price and Specification of the relevant Goods) may differ from those set out in this Framework Agreement.
- 1.5 Only NHS Supply Chain may carry out further competitions (including by means of eAuction) under this Framework Agreement.
- 1.6 NHS Supply Chain reserves the right to re-check the Supplier's economic and financial standing (taking into account the specific requirements of the relevant Contract or Order) in order to assist in determining whether, or not, the Supplier is a capable supplier for the purposes of paragraphs 1.2, 1.3, 1.4 or 1.6 of this Schedule 7.

- 1.7 Notwithstanding that NHS Supply Chain has followed a procedure set out in this paragraph 1, NHS Supply Chain shall be entitled at all times to decline to make an award for its requirements. Nothing in this Framework Agreement shall oblige NHS Supply Chain or a Participating Authority to award any Contract.
- 1.8 If the Supplier decides to respond to NHS Supply Chain's request for offers as set out in this paragraph 1, then the Supplier shall respond to such request by the time and date specified by NHS Supply Chain and by providing the information requested in writing.
- 1.9 The Supplier agrees that:
  - 1.9.1 all offers submitted by the Supplier further to any request from NHS Supply Chain under this paragraph 1 shall remain open for acceptance by NHS Supply Chain and/or a Participating Authority for ninety (90) Business Days (or such other period specified in the request from NHS Supply Chain); and
  - 1.9.2 all offers submitted by the Supplier are made and will be made in good faith and that the Supplier has not fixed or adjusted and will not fix or adjust the price of any offer by or in accordance with any agreement or arrangement with any other person. The Supplier certifies that it has not and undertakes that it will not:
    - 1.9.2.1 communicate to any person other than the person inviting these offers the amount or approximate amount of the offer, except where the disclosure, in confidence, of the approximate amount of the offer was necessary to obtain quotations required for the preparation of the offer; and
    - 1.9.2.2 enter into any arrangement or agreement with any other person that it or the other person(s) shall refrain from submitting an offer or as to the amount of any offers to be submitted.

## **2. Direct ordering**

- 2.1 As an alternative to re-opening competition, NHS Supply Chain or a Participating Authority may elect in its absolute discretion to purchase Services directly from the Supplier and enter into a Contract with the Supplier on the terms set out in this Framework Agreement.

**Schedule 8 Master Lease Agreement**

**[TO BE INSERTED WHEN DRAFTING  
COMPELTE]**



**Schedule 9 Loan Agreement**

**[TO BE INSERTED WHEN DRAFTING  
COMPLETE]**